INTERIM BUSINESS PROCESS MODELS, REPORTS, INTERFACES, AND CONVERSIONS

TUESDAY, NOVEMBER 19, 2019

WEDNESDAY, NOVEMBER 20, 2019
Agenda

Day 1:

- Introduction and Background
- Overview of Interim Business Processes
  - Disbursements Management
  - Asset Accounting and Management
  - Accounts Receivable
  - Cash Management
- Wrap Up
Agenda

Day 2:

- Recap of Day 1
- Overview of Interim Business Processes
  - Account Management and Financial Reporting
  - Budget Management
- Reports Approach and Plan
- Interfaces Approach and Plan
- Conversions Approach and Plan
- Wrap Up
INTRODUCTION AND BACKGROUND
Introduction and Background

Welcome

- Housekeeping
  - Facilities logistics
  - Cell phone on silent
  - Participant questions
  - Public meeting with published minutes

- Introductions of Florida PALM Team and DFS Divisions/Office
Introduction and Background

Overview

Fiscal Year


Phase 1

90 Months

Pilot

56 Months

8/2020: Ready for Integration/Interface Testing

2/2021: Ready for User Acceptance Testing

6/2021: Ready for Implementation

Solution Confirmation

9 Months

Initial Functionality
(Select organizations)

A focus will be placed on readiness activities for the next waves

Wave 1

15 Months

Initial Functionality
(1/2 organizational users)

Wave 2

15 Months

Initial Functionality
(1/2 organizational users)

Wave 3

21 Months

Payroll functionality
(all organizations)

Phase 2

21 Months

Remaining functionality
(all organizations)

Production Support

72 Months

Go-live

FloridaPalm
Planning, Accounting, and Ledger Management

Interim Business Process Models
November 2019
Introduction and Background
Overview

- Standardized Business Process Models:
  - Organized into eight Business Process Groupings representing collections of business processes
  - Include flow diagrams and supporting narratives
  - Represent the Florida PALM design for all organizations at the conclusion of Wave 2
  - Obtained Executive Steering Committee (ESC) approval on July 24th
Central FLAIR and CMS Transition Timing

All State organizations will transition to Florida PALM in July 2021 for functions currently conducted using Central FLAIR and the Treasury Cash Management Systems (CMS).

The transition to Florida PALM from Central FLAIR and CMS may impact various activities within your organization. For example:

- Deposit and verification process
- Departmental account reconciliation/balancing
- Payment posting and voucher processing
- Budget and cash balance inquiry
- Warrant Cancellations

Stay Tuned!

After finalizing the design of Florida PALM, the Florida PALM Team will be working with organizations to review interface impacts and to support business process changes and training needs.

Questions? Contact the team at FloridaPALM@MyFloridaCFO.com
Introduction and Background

Overview

- Standardized Business Process Models
- Interim Business Process Models
- Legacy Processes
Introduction and Background

Overview

- The purpose of the Interim Business Process Models is to document, at Pilot, process variations:
  - that Wave Organizations will employ from legacy processes until transition to Florida PALM
  - that Pilot Organizations will employ from the Standardized Business Process Models until Wave 2

- Interim Business Process Model creation engagement includes:
  - DFS Divisions / Office
  - FFMIS Partners
  - All Organizations
Introduction and Background

Overview

- Assumptions considered in creating Interim Business Process Models were:
  - Revision of Departmental FLAIR will be minimized
  - Central FLAIR functions in Departmental will not be available
  - Wave organization users will be enabled to use Florida PALM, as appropriate
  - Revision of Florida PALM for Interim processes will be minimized
  - Florida PALM will support a crosswalk of Chart of Accounts (COA) values for data translation
Introduction and Background
Overview

- Interim Business Process Models:
  - align with Standardized Business Process Model numbering of Business Process Groupings and Business Processes
  - begin with an “I” and then the number of the corresponding Standardized Business Process
  - provide a lower level of detail than the Standardized Business Process Models
  - include interfaces between Departmental FLAIR and Florida PALM

<table>
<thead>
<tr>
<th>Standardized Business Process Model</th>
<th>Interim Business Process Model</th>
</tr>
</thead>
<tbody>
<tr>
<td>30.3 – Enter and Process Vouchers</td>
<td>I.30.3.A – Process Vouchers</td>
</tr>
<tr>
<td></td>
<td>I.30.3.B – Audit Vouchers</td>
</tr>
</tbody>
</table>
Introduction and Background

Overview

- For Wave Organizations:
  - reports providing data similar to what is provided by Central FLAIR and CMS will be available in Florida PALM
  - data from Florida PALM may be sent to Information Warehouse
- Information from FLAIR and PYRL for all organizations will continue to be sent to the Information Warehouse
- The approach for historical and enterprise reporting is being considered
Introduction and Background
Chart of Accounts

- The Chart of Accounts Design:
  - includes structures, definitions, and individual design components of ChartFields and the Budget Structures by which budgetary and financial controls will be managed
  - has been supported by workshops and working meetings with all organizations
  - is a Major Project Deliverable that will be reviewed and approved by the ESC in January and February 2020

- The minimum amount of COA values will be configured for all organizations at Pilot Go Live
Introduction and Background
Chart of Accounts

- A COA Crosswalk will be available, which will be a tool that supports bi-directional cross walking of values between Florida PALM ChartFields and FLAIR data elements
- As a replacement for the function of Central FLAIR, the 29-Digit Account Code will be set-up and maintained within the tool
- The tool will provide support for interfacing between Florida PALM and legacy systems for the extract of data in legacy-like formats
## Introduction and Background
### Interim Models by Business Process Grouping

<table>
<thead>
<tr>
<th>Business Process Grouping</th>
<th># of Flows and Narratives</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>10 - Account Management and Financial Reporting</td>
<td>3</td>
<td>Wave; Pilot; A&amp;A</td>
</tr>
<tr>
<td>20 - Budget Management</td>
<td>2</td>
<td>Wave; A&amp;A</td>
</tr>
<tr>
<td>30 - Disbursements Management</td>
<td>8</td>
<td>Wave; A&amp;A</td>
</tr>
<tr>
<td>40 - Asset Accounting and Management</td>
<td>2</td>
<td>Wave; Pilot; A&amp;A</td>
</tr>
<tr>
<td>50 - Revenue Accounting</td>
<td>0</td>
<td>N/A</td>
</tr>
<tr>
<td>60 - Accounts Receivables</td>
<td>3</td>
<td>Wave; A&amp;A; Treasury</td>
</tr>
<tr>
<td>70 - Treasury Management</td>
<td>0</td>
<td>N/A</td>
</tr>
<tr>
<td>80 - Cash Management</td>
<td>7</td>
<td>Wave; Pilot; A&amp;A; Treasury</td>
</tr>
</tbody>
</table>
Introduction and Background

Interim Flows and Narrative

- Flows:
  - Include designation for “Wave” organization role
  - New icon to show connection back to Standardized flows

- Narratives:
  - Business Process Overview
  - Dependencies and Constraints
  - Business Process Flow Details
  - Interim Reports
Introduction and Background

Interim Overview

- Start at Business Process Grouping level with an overview
- Overlay how the Interims apply
- Deeper dive into each new Business Process
  - Overview
  - Roles
  - Key Concepts
  - Variance from Standardized and from Current Processes
  - Reports – Includes the type of data provided to IW
  - Relationship to Other Business Processes
Introduction and Background
Interim Business Process Model Review

- Review the draft Interim Business Process Models
- Seek clarification through Office Hours by asking questions of the Project Team
- Contribute to your organization’s collective feedback
  - One feedback form per organization from the designated point of contact for the organization
  - Word document organized by the Business Process Groupings, with space provided to include specific feedback by Interim Business Process

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Planning, Accounting, and Ledger Management

Interim Business Process Models
November 2019
## Introduction and Background

**Interim Business Process Model Review**

<table>
<thead>
<tr>
<th>Monday</th>
<th>Tuesday</th>
<th>Wednesday</th>
<th>Thursday</th>
<th>Friday</th>
</tr>
</thead>
<tbody>
<tr>
<td>11/11 Veterans Day</td>
<td>11/12</td>
<td>11/13</td>
<td>11/14</td>
<td>11/15</td>
</tr>
<tr>
<td>11/18 Workshop Session 1 Day 1 (9 am - 12 pm)</td>
<td>11/19 Workshop Session 2 Day 1 (9 am - 12 pm)</td>
<td>11/20 Workshop Session 2 Day 1 (8:30 - 11:30 am)</td>
<td>11/21 Office Hours (9 -10 am) (via phone)</td>
<td>11/22</td>
</tr>
<tr>
<td>12/2</td>
<td>12/3</td>
<td>12/4</td>
<td>12/5 Office Hours (9 -10 am) (via phone)</td>
<td>12/6</td>
</tr>
<tr>
<td>12/9</td>
<td>12/10</td>
<td>12/11</td>
<td>12/12</td>
<td>12/13 Organization Collective Feedback Due</td>
</tr>
</tbody>
</table>
OVERVIEW OF BUSINESS PROCESS GROUPING

Disbursements Management (DM)
DM includes a collection of business processes that:

- manage supplier information, including demographic and payment information for tax withholding and reporting purposes
- support the disbursement process for State obligations from encumbrance through payment request, payment creation, and escheatment
- perform month-end and year-end closing activities
I.30.1 Set Up and Maintain Suppliers
Overview of Interim Business Process
I.30.1 Set Up and Maintain Suppliers

- Description of Interim Business Process
  - Encompasses all activities that are required to set up and use a supplier profile including adding a new supplier profile, requesting updates to an existing supplier profile, validating a supplier Tax Identification Number (TIN), marking a supplier as confidential, and intercepting a supplier payment for various business events.

- Roles
  - DFS Supplier Approver
  - DFS Supplier Processor
  - Wave Organization Supplier Processor
Overview of Interim Business Process
I.30.1 Set Up and Maintain Suppliers

- Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations use an electronic form in Florida PALM for all supplier add and update requests</td>
<td>Wave</td>
</tr>
<tr>
<td>Florida PALM sends a file to Departmental FLAIR to update the Statewide Vendor File (SWVF) and the EFT File</td>
<td>Wave</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.30.1 Set Up and Maintain Suppliers

- Variances from Standardized Business Processes
  - The SWVF and EFT files in Departmental FLAIR are updated with supplier adds/updates in Florida PALM

- Variances from Current Processes
  - Florida PALM is used to search for supplier records
  - Florida PALM has an electronic form to request supplier updates
  - Supplier adds/updates are subject to A&A approval
Overview of Interim Business Process

I.30.1 Set Up and Maintain Suppliers

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>None Identified</td>
<td>N/A</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - 30.1 Set Up and Maintain Suppliers
I.30.3.A PROCESS VOUCHERS
Overview of Interim Business Process

I.30.3.A Process Vouchers

- Description of Interim Business Process
  - Encompasses all activities that are required to capture the disbursement requests which entail generating vouchers, performing editing, budget checking, and voucher posting for Wave organizations during interim.

- Roles
  - Wave Organization AP Processor
Overview of Interim Business Process

I.30.3.A Process Vouchers

- Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations continue to process transactions in Departmental FLAIR</td>
<td>Wave</td>
</tr>
<tr>
<td>Departmental FLAIR provides a file to Florida PALM to create vouchers</td>
<td>Wave</td>
</tr>
<tr>
<td>Each invoice is a separate voucher in Florida PALM</td>
<td>Wave</td>
</tr>
<tr>
<td>Vouchers are budget checked in Florida PALM upon voucher creation</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations view the Voucher Errors report and Voucher Status report in Florida PALM</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations correct or delete a voucher for budget errors. Vouchers deleted or updated in Florida PALM require corresponding updates in Departmental FLAIR</td>
<td>A&amp;A Wave</td>
</tr>
<tr>
<td>Florida PALM provides a file of deleted transactions to Departmental FLAIR</td>
<td>Wave</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.30.3.A Process Vouchers

- Variances from Standardized Business Processes
  - Wave organizations process vouchers in Departmental FLAIR
  - Interfaces from Departmental FLAIR for disbursements are sent to Florida PALM

- Variances from Current Processes
  - Reports are available in Florida PALM
  - Budget check and cash check occur separately
Overview of Interim Business Process

I.30.3.A Process Vouchers

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Voucher Errors – a report listing all vouchers errors, including budget errors</td>
<td>Voucher Status Information</td>
</tr>
<tr>
<td>Voucher Status – a report listing the status of each voucher (i.e., selected for audit, approved, deleted, paid)</td>
<td>Voucher Status Information</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - I.20.2 Manage Budget Check Errors
  - I.30.3.B Audit Vouchers
  - I.30.4 Process Pay Cycle
I.30.3.B Audit Vouchers
Overview of Interim Business Process
I.30.3.B Audit Vouchers

- Description of Interim Business Process
  - Organizations maintain required documentation outside of FLAIR that must be available for submission to A&A during interim, if a voucher is selected for audit.
  - Vouchers selected and that pass audit are budget checked in Florida PALM and approved for payment.

- Roles
  - Wave Organization AP Processor
  - DFS AP Approver
### Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
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</thead>
<tbody>
<tr>
<td>Wave organizations provide voucher packages for sampled vouchers to A&amp;A for review and approval in Florida PALM</td>
<td>Wave, A&amp;A</td>
</tr>
<tr>
<td>Sampled MFMP transactions are audited and approved by A&amp;A in MFMP</td>
<td>A&amp;A</td>
</tr>
<tr>
<td>A&amp;A views documentation for STMS and WORKS transactions in the source system and the approval process occurs in Florida PALM</td>
<td>A&amp;A</td>
</tr>
<tr>
<td>Wave organizations view the Voucher Status report in Florida PALM</td>
<td>Wave</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.30.3.B Audit Vouchers

- Variances from Standardized Business Processes
  - Vouchers from Departmental FLAIR are sampled, audited and approved by A&A in Florida PALM
  - The documentation and audit process occurs in the source system for MFMP

- Variances from Current Processes
  - Wave organizations review the Voucher Status report to determine if additional documentation is required by DFS
  - Reports are available in Florida PALM
## Overview of Interim Business Process

### I.30.3.B Audit Vouchers

- Reports
  - Florida PALM Interim Report Name – Description
  - Type of Data Transferred to IW

<table>
<thead>
<tr>
<th>Voucher Status – a report listing the status of each voucher (i.e., selected for audit, approved, deleted, paid)</th>
<th>Voucher Status Information</th>
</tr>
</thead>
</table>

- Relationship with Other Business Processes
  - I.30.3.A Process Vouchers
  - I.30.4 Process Pay Cycle
I.30.4 PROCESS PAY CYCLE
Overview of Interim Business Process

I.30.4 Process Pay Cycle

- Description of Interim Business Process
  - Includes processing a pay cycle by selecting vouchers scheduled for payment, creating electronic and warrant payments, and verifying sufficient cash is available for creating the payments.

- Roles
  - DFS Payment Processor
  - Wave Organization AP Processor
Overview of Interim Business Process
I.30.4 Process Pay Cycle

Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations access Florida PALM to run and review the Voucher Status report</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations receive/distribute the warrants generated from a pay cycle</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations correct or delete voucher with cash errors in Florida PALM. Vouchers deleted or updated in Florida PALM requires the Wave organization to update Departmental FLAIR</td>
<td>Wave; A&amp;A</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.30.4 Process Pay Cycle

- Variances from Standardized Business Processes
  - Wave organizations make corrections for cash errors in Florida PALM and in Departmental FLAIR
  - A file of deleted vouchers is sent to Departmental FLAIR

- Variances from Current Processes
  - Wave organizations may access Florida PALM to run and review the Voucher Status report
Overview of Interim Business Process
I.30.4 Process Pay Cycle

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Voucher Status – a report listing the status of each voucher (i.e., selected for audit, approved, deleted, paid)</td>
<td>Voucher Status Information</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - 30.4.1 Process Pay Cycle
  - I.80.1 Cash Checking
I.30.5.A MANAGE STALE PAYMENTS
Description of Interim Business Process
◦ Addresses the methods for managing the transfer of stale payments to Unclaimed Property, or if applicable, the originating fund.

Roles
◦ DFS Stale Payment Processor
◦ Unclaimed Property Processor
◦ Wave Organization AP Processor
Overview of Interim Business Process
I.30.5.A Manage Stale Payments

Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations access Florida PALM to review the Outstanding Warrants report and contact suppliers as necessary</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations access Florida PALM to review the Canceled Payments report and manage funds exempt from transfer in Departmental FLAIR as necessary</td>
<td>Wave</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.30.5.A Manage Stale Payments

- Variances from Standardized Business Processes
  - Wave organizations review reports for stale payments in Florida PALM
  - Wave organizations manage funds exempt from transfer to Unclaimed Property in Departmental FLAIR

- Variances from Current Processes
  - Wave organizations access Florida PALM for reports
  - Stale payments are processed in Florida PALM
Overview of Interim Business Process

I.30.5.A Manage Stale Payments

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Outstanding Warrants - a report that lists the stale-date of all outstanding warrants not cleared by the Bank</td>
<td>Payment Information</td>
</tr>
<tr>
<td>Payment Status – a report of warrant and electronic payment detail and status (cleared, outstanding, canceled, or reissued)</td>
<td>Payment Information</td>
</tr>
<tr>
<td>Canceled Payments – a report that lists all canceled payments and the number of days outstanding at the point of cancellation</td>
<td>Payment Information</td>
</tr>
</tbody>
</table>
I.30.5.B CANCEL PAYMENTS
Overview of Interim Business Process

I.30.5.B Cancel Payments

- Description of Interim Business Process
  - Addresses the cancellation and reissuance of payments, which includes the methods to stop, cancel, and void payments made within Florida PALM.

- Roles
  - DFS Payment Cancellation Processor
  - Treasury Payment Cancellation Processor
  - Wave Organization Payment Cancellation Processor
Overview of Interim Business Process

I.30.5.B Cancel Payments

Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations use an electronic form in Florida PALM to request non-payroll payment cancellations</td>
<td>Wave</td>
</tr>
<tr>
<td>Florida PALM sends a payment cancellations file to Departmental FLAIR</td>
<td>Wave</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.30.5.B Cancel Payments

- Variances from Standardized Business Processes
  ◦ Wave organization approval to initiate a payment cancellation occurs outside Florida PALM
  ◦ Florida PALM sends a payment cancellation file to Departmental FLAIR

- Variances from Current Processes
  ◦ Payment cancellations are processed in Florida PALM
  ◦ Florida PALM sends a payment cancellation file to Departmental FLAIR
Overview of Interim Business Process
I.30.5.B Cancel Payments

Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Payment Status – a report of warrant and electronic payment detail and status (cleared, outstanding, canceled, or reissued)</td>
<td>Payment Information</td>
</tr>
<tr>
<td>Canceled Payments – a report that lists all canceled payments and the number of days outstanding at the point of cancellation</td>
<td>Payment Information</td>
</tr>
</tbody>
</table>

Relationship with Other Business Processes

- 30.5.4 Cancel and Reissue Payments
- I.30.3.A Process Vouchers
I.30.6 MANAGE TAX REPORTING
Overview of Interim Business Process

I.30.6 Manage Tax Reporting

- Description of Interim Business Process
  - Addresses how tax reporting and withholding are handled for supplier and non-payroll payments. Florida PALM is used to report taxable payments to the IRS, the State of Florida, and the applicable suppliers. It is also used to withhold federal and state taxes from payments as required by federal and state laws. As part of the Set Up and Maintain Suppliers standardized business process, the 1099 information is collected and configured within Florida PALM.

- Roles
  - DFS 1099 Processor
  - Wave Organization AP Processor
Overview of Interim Business Process
I.30.6 Manage Tax Reporting

- Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations access Florida PALM to review the Withhold 1099 IRS report</td>
<td>Wave</td>
</tr>
<tr>
<td>to determine if corrections are needed to either the voucher coding or supplier</td>
<td></td>
</tr>
<tr>
<td>configuration</td>
<td></td>
</tr>
<tr>
<td>Wave organizations make corrections in Florida PALM, as needed</td>
<td>Wave</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.30.6 Manage Tax Reporting

- Variances from Standardized Business Processes
  - N/A

- Variances from Current Processes
  - Organizations review 1099 reports in Florida PALM for accuracy
Overview of Interim Business Process

I.30.6 Manage Tax Reporting

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Withhold 1099 IRS Report – a report of all 1099s generated using transactional data and the specific configuration of the supplier profile</td>
<td>N/A</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - 30.6 Manage Tax Reporting
I.30.7 ACCOUNTS PAYABLE PERIOD CLOSE
Overview of Interim Business Process
I.30.7 Accounts Payable Period Close

- Description of Interim Business Process
  - Activities to be completed in preparation to close the period for Wave organizations during interim.

- Roles
  - DFS AP Processor
  - Wave Organization AP Processor
Overview of Interim Business Process
I.30.7 Accounts Payable Period Close

Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounts Payable Period Close interim business process must be completed in Florida PALM by Wave organizations after reconciling accounts payable data with Departmental FLAIR</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organization users with appropriate access monitor for failed transactions in Florida PALM</td>
<td>Wave</td>
</tr>
<tr>
<td>Florida PALM provides access to Wave organizations to view standard reports needed for reconciling Departmental FLAIR to Florida PALM</td>
<td>Wave</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.30.7 Accounts Payable Period Close

- Variances from Standardized Business Processes
  - Wave organizations reconcile Florida PALM data to Departmental FLAIR data as part of the closing process

- Variances from Current Processes
  - Reports available in today’s reporting system are available in Florida PALM
## Overview of Interim Business Process

### I.30.7 Accounts Payable Period Close

- **Reports**

<table>
<thead>
<tr>
<th>Report Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Payment Register – a listing of electronic, warrant, or manual payments made as part of the pay cycle, and any offsets applied to those payments</td>
<td>Payment Information</td>
</tr>
<tr>
<td>Voucher Aging Schedule – an aging schedule of vouchers pending approval</td>
<td>N/A</td>
</tr>
<tr>
<td>Budget Exceptions – a listing of vouchers with budget exceptions</td>
<td>Voucher Status Information</td>
</tr>
<tr>
<td>Cash Exceptions – a listing of payments with cash exceptions</td>
<td>Voucher Status Information</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.30.7 Accounts Payable Period Close

- Relationship with Other Business Processes
  - I.20.2 Budget Execution and Management
  - I.30.3.B Audit Vouchers
  - I.80.1 Cash Checking
QUESTIONS ABOUT THE INTERIM BUSINESS PROCESS MODELS

Disbursements Management (DM)
OVERVIEW OF BUSINESS PROCESS GROUPING

Asset Accounting and Management (AAM)
AAM includes a collection of business processes that:

- manage the records of agency property that supports State operations including financial and custodial information
- establish the controls that ensure the integrity of statewide financial reporting
- perform month-end and year-end closing activities
Business Subprocess

40.1 Acquire and Set Up Assets
   40.1.1 Import Asset Add
   40.1.2 Online Asset Add

40.2 Deploy and Maintain Assets
   40.2.1 Deploy and Maintain Assets
   40.2.2 Inter-Unit Asset Transfer
   40.2.3 Intra-Unit Asset Transfer
   40.2.4 Asset Disposal
   40.2.5 Physical Inventory

40.3 Set Up and Maintain Asset Controls

40.4 Asset Management Period Close
Interim Business Process Models

Business Process Grouping

Standardized Business Process

Asset Accounting and Management

40.1 Acquire and Set Up Assets
40.2 Deploy and Maintain Assets
40.3 Set Up and Maintain Asset Controls
40.4 Asset Management Period Close

Interim Business Process

I.40.2 Asset Transfers
I.40.4 Asset Management Period Close
1.40.2 Asset Transfers
Overview of Interim Business Process

I.40.2 Asset Transfers

- Description of Interim Business Process
  - Transfers of assets between Pilot organizations and Wave organizations require separate independent transactions in Florida PALM and Departmental FLAIR. Assets are retired in one system and created in the other system.

- Roles
  - Pilot Organization Asset Processor
  - Wave Organization Asset Processor
Overview of Interim Business Process
I.40.2 Asset Transfers

Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Pilot organization retires the asset or creates the asset record in Florida PALM</td>
<td>Pilot</td>
</tr>
<tr>
<td>The Wave organization retires the asset or creates the asset record in Departmental FLAIR</td>
<td>Wave</td>
</tr>
<tr>
<td>The initiating organization sends detailed asset information (asset description, acquisition date, original cost, accumulated depreciation) to the receiving organization</td>
<td>Pilot, Wave</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.40.2 Asset Transfers

- Variances from Standardized Business Processes
  - No system to system transfer between the initiating organization and the receiving organization
  - Transfers between Pilot and Wave organizations require the use of ‘retire’ instead of ‘transfer’
  - Asset details are communicated outside of the system
  - Asset records for Wave organizations are managed in Departmental FLAIR

- Variances from Current Processes
  - Transfers between Pilot and Wave organizations require the use of ‘retire’ instead of ‘transfer’
Overview of Interim Business Process
1.40.2 Asset Transfers

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transferred Asset Report – a report of assets acquired or disposed of through transfer</td>
<td>N/A</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - 40.2.2 InterUnit Asset Transfer
I.40.4 ASSET MANAGEMENT PERIOD CLOSE
Overview of Interim Business Process

I.40.4 Asset Management Period Close

- Description of Interim Business Process
  - Activities to be completed in preparation to update asset records in Departmental FLAIR and balances are transmitted to the General Ledger (GL) in Florida PALM.

- Roles
  - DFS Asset Processor
  - Wave Organization Asset Processor
Overview of Interim Business Process

I.40.4 Asset Management Period Close

Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Wave organization updates asset balances in Departmental FLAIR</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations transmit asset balances and depreciation from Departmental FLAIR to Florida PALM</td>
<td>Wave; A&amp;A</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.40.4 Asset Management Period Close

- Variances from Standardized Business Processes
  - Wave organizations transmit asset balances and depreciation from Departmental FLAIR to Florida PALM

- Variances from Current Processes
  - The same concepts described above for current processes.
Overview of Interim Business Process

I.40.4 Asset Management Period Close

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>None Identified</td>
<td>N/A</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - I.10.3 Analyze, Reconcile and Close Accounts
Questions About The Interim Business Process Models

Asset Accounting and Management (AAM)
OVERVIEW OF BUSINESS PROCESS GROUPING

Accounts Receivables (AR)
Revenue Accounting (RA) Business Process Grouping

- Includes processes that manage customer and billing information
- Processes occur in agency business systems or Departmental FLAIR today
- Processes remain in agency business systems or Departmental FLAIR during interim period
Overview of Business Process Grouping

AR Overview

- AR includes a collection of business processes that
  - manage accounting, reporting, and collection of outstanding State revenues
  - support the intake and accounting for cash receipts
  - ensure the write-off of outstanding debt is appropriately approved
Interim Business Process Models

November 2019
1.60.2.A DEPOSITS AND BANK RECONCILIATION
Overview of Interim Business Process
I.60.2.A Deposits and Bank Reconciliation

- Description of Interim Business Process
  - Addresses how Wave organizations record deposits in Departmental FLAIR and monitor reconciliation of bank data in Departmental FLAIR and Florida PALM.

- Roles
  - DFS Bank Reconciliation Processor
  - Department of Revenue (DOR) Wave Organization Exception Processor
  - Wave Organization Deposit Reporter
Overview of Interim Business Process
I.60.2.A Deposits and Bank Reconciliation

Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Verified Departmental FLAIR deposits are interfaced to Florida PALM for Wave organizations to create and record direct journal deposits</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations log into Florida PALM to run reports and request DFS Treasury assistance</td>
<td>Wave, Treasury</td>
</tr>
<tr>
<td>Deposits processed by DOR on behalf of other organizations are interfaced to Florida PALM and, if needed, updated by DOR in Florida PALM. Wave organizations enter Departmental FLAIR transactions for DOR deposits</td>
<td>Wave, DOR</td>
</tr>
<tr>
<td>DFS Treasury logs into Departmental FLAIR to manually approve for same day deposit verification</td>
<td>Treasury</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.60.2.A Deposits and Bank Reconciliation

- Variances from Standardized Business Processes
  - Deposits are initiated in Departmental FLAIR and interfaced to Florida PALM for Wave organizations

- Variances from Current Processes
  - Wave organizations use Florida PALM reports and forms instead of CMS
  - Expenditure refunds are processed as journal entries in Florida PALM instead of the Central FLAIR voucher process
Overview of Interim Business Process
I.60.2.A Deposits and Bank Reconciliation

Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unverified Deposits Report – provides a report of unverified deposits</td>
<td>N/A</td>
</tr>
<tr>
<td>Deposits Report – provides detailed information for deposits</td>
<td>Deposit Information</td>
</tr>
</tbody>
</table>

Relationship with Other Business Processes
  - I.10.2 Enter and Process Inter/IntraUnit Journals
1.60.2.B RETURNED ITEMS
Overview of Interim Business Process
I.60.2.B Returned Items

- Description of Interim Business Process
  - Addresses how Wave organizations record and reclassify returned deposits.

- Roles
  - DFS Deposit Processor
  - Wave Organization Deposit Reporter
### Overview of Interim Business Process

**I.60.2.B Returned Items**

- Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations enter a Departmental FLAIR only transaction to record the returned items</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations log into Florida PALM to run reports and request DFS Treasury assistance</td>
<td>Wave Treasury</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.60.2.B Returned Items

- Variances from Standardized Business Processes
  - Wave organizations enter a Departmental FLAIR only transaction to reflect the returned item posted to Florida PALM

- Variances from Current Processes
  - Wave organizations use Florida PALM reports and forms instead of CMS
Overview of Interim Business Process

I.60.2.B Returned Items

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Deposits Report – provides detailed information for deposits</td>
<td>Deposit Information</td>
</tr>
<tr>
<td>Debit Memo – provides returned item information</td>
<td>N/A</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - I.80.1 Cash Checking
  - I.60.2.C Wave Revenue Reclass
I.60.2.C WAVE REVENUE RECLASS
Overview of Interim Business Process
I.60.2.C Wave Revenue Reclass

- Description of Interim Business Process
  - Addresses the methods Wave organizations use to reclassify revenue (e.g., corrections, transfers) in Departmental FLAIR and Florida PALM between two organizations or within an organization.

- Roles
  - Wave Organization Deposit Reporter
Overview of Interim Business Process
I.60.2.C Wave Revenue Reclass

- Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations use a $0 deposit in Departmental FLAIR to reclass revenue</td>
<td>Wave</td>
</tr>
<tr>
<td>within an organization. Departmental FLAIR deposit data is interfaced to Florida</td>
<td></td>
</tr>
<tr>
<td>PALM as a direct journal to keep the systems updated</td>
<td></td>
</tr>
<tr>
<td>Wave organizations log into Florida PALM to run the deposit report to confirm</td>
<td>Wave</td>
</tr>
<tr>
<td>$0 deposit entries are accurate in Florida PALM</td>
<td></td>
</tr>
<tr>
<td>Wave organizations log into Florida PALM to create journal entries for a revenue</td>
<td>Wave</td>
</tr>
<tr>
<td>transfer to a Pilot organization.</td>
<td>Pilot</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.60.2.C Wave Revenue Reclass

- Variances from Standardized Business Processes
  - $0 Deposits are initiated in Departmental FLAIR and interfaced to Florida PALM

- Variances from Current Processes
  - Wave organizations use Florida PALM reports instead of CMS
Overview of Interim Business Process

I.60.2.C Wave Revenue Reclass

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Deposits Report – provides detailed information for deposits</td>
<td>Deposit Information</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - I.60.2.B Returned Items
  - I.80.1 Cash Checking
  - 10.2 Enter and Process Journals
  - I.10.2 Enter and Process Inter/IntraUnit Journals
Questions About The Interim Business Process Models

Accounts Receivable (AR)
Overview of Business Process Grouping
Cash Management (CM)
Overview of Business Process Grouping

CM Overview

- Treasury Management (TM) Business Process Grouping:
  - Includes processes that manage banking relationships and reconciliation to bank transactions; manage investment securities and investment earnings apportionment; support cash transfers between bank accounts; and support organization requests of Treasury
  - Processes occur in CMS applications today that will be replaced by Florida PALM
  - Wave and Pilot organizations will use the TM standardized business processes at Pilot Go Live
Overview of Business Process Grouping

CM Overview

- CM includes a collection of business processes that:
  - ensure adequate cash balances to support disbursements and alignment of State and federal cash management requirements;
  - support the forecast and management of cash balances within agency and general revenue funds, including managing fund loans; and
  - support the management for revolving funds located within and outside the State Treasury.
Interim Business Process Models

November 2019

Florida PALM
Planning, Accounting, and Ledger Management

Interim Business Process

Cash Management

Business Process Grouping

Standardized Business Process

80.1 Manage Cash Checking

I.80.1 Cash Checking

80.2 Monitor and Manage Fund Cash

I.80.2.A Trust Fund Investments and Disinvestments

I.80.2.B General Revenue Service Charge Payment

I.80.2.C Process Fund Loans

I.80.2.D Consolidated Revolving Account Management

I.80.2.E Pilot InterUnit Payments or Transfers

I.80.2.F Annual CMIA Report
I.80.1 Cash Checking
Overview of Interim Business Process
I.80.1 Cash Checking

- Description of Interim Business Process
  - Addresses how Wave organization transactions are checked against fund cash balances in Florida PALM.

- Roles
  - DFS Override Processor
  - Wave Organization Fund Cash Manager
  - Wave Organization Exception Transaction Processor
Overview of Interim Business Process
I.80.1 Cash Checking

Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Departmental FLAIR transactions are interfaced to Florida PALM to validate there</td>
<td>Wave</td>
</tr>
<tr>
<td>is sufficient spendable Fund cash</td>
<td></td>
</tr>
<tr>
<td>Wave organizations log into Florida PALM to review and manage the Cash Checking</td>
<td>Wave</td>
</tr>
<tr>
<td>exceptions</td>
<td></td>
</tr>
<tr>
<td>Wave organizations decide whether to update the source transaction in Florida</td>
<td>Wave</td>
</tr>
<tr>
<td>PALM if appropriate, hold the transaction until cash is available, or request DFS</td>
<td>A&amp;A</td>
</tr>
<tr>
<td>assistance to delete or override the transaction</td>
<td></td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.80.1 Cash Checking

- Variances from Standardized Business Processes
  - Cash impacting transactions originating in Departmental FLAIR are cash checked in Florida PALM

- Variances from Current Processes
  - Exceptions are managed in Florida PALM
Overview of Interim Business Process
I.80.1 Cash Checking

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash Checking Exception – displays cash check exceptions including reasons for exceptions, and the length of</td>
<td>Voucher Status Information</td>
</tr>
<tr>
<td>time outstanding</td>
<td></td>
</tr>
<tr>
<td>Cash Check Override – all transactions for which cash check has been overridden</td>
<td>N/A</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.80.1 Cash Checking

- Relationship with Other Business Processes
  - I.10.2 Enter and Process Inter/IntraUnit Journals
  - I.30.4 Process Pay Cycle
  - I.60.2.B Returned Items
  - I.60.2.C Wave Revenue Reclass
  - I.80.2.E Pilot InterUnit Payments or Transfers
1.80.2.A Trust Fund Investments and Disinvestments
Overview of Interim Business Process
I.80.2.A Trust Fund Investments and Disinvestments

- Description of Interim Business Process
  - Addresses how Wave organizations perform Trust Fund Investments and Disinvestments in Florida PALM, and how interest apportionment is recorded.

- Roles
  - DFS GL Journal Processor
  - Wave Organization GL Journal Processor
## Overview of Interim Business Process

I.80.2.A Trust Fund Investments and Disinvestments

- **Key Interim Business Process Concepts**

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations log into Florida PALM to review Cash and Investment balances</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations record journal entries in Florida PALM to invest and disinvest cash</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations enter Departmental FLA IR transactions for investments, disinvestments, apportioned interest, and administrative fees to reconcile with Florida PALM cash balances</td>
<td>Wave</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.80.2.A Trust Fund Investments and Disinvestments

- Variances from Standardized Business Processes
  - Investment, disinvestment, and apportionment transactions recorded in Florida PALM must be entered in Departmental FLAIR

- Variances from Current Processes
  - Investment, disinvestment, and apportionment transactions are initiated in Florida PALM instead of Departmental FLAIR and CMS-Disinvestments
Overview of Interim Business Process

I.80.2.A Trust Fund Investments and Disinvestments

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash Balance and Activity Report – cash balance and related cash transactions for requested combination ChartFields</td>
<td>Cash Balance and Transaction Information</td>
</tr>
<tr>
<td>Investment Activity Report – investment/disinvestment activity by requested combination of ChartFields</td>
<td>Investment Information</td>
</tr>
<tr>
<td>Apportionment Report – interest and administrative fee apportionment details by pool and participant</td>
<td>Investment Information</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.80.2.A Trust Fund Investments and Disinvestments

- Relationship with Other Business Processes
  - 70.7.2 Distribute Interest Apportionment
  - 10.2.1 Create and Approve Journals
1.80.2.B General Revenue Service Charge (GRSC) Payment
Overview of Interim Business Process
I.80.2.B General Revenue Service Charge Payment

- **Description of Interim Business Process**
  - Addresses how Wave organizations remit payment to the General Revenue Fund and access the GRSC Report. It also describes how A&A tracks outstanding GRSC balances for Wave organizations.

- **Roles**
  - DFS GL Journal Approver
  - Wave Organization Fund Cash Reporter
### Overview of Interim Business Process

I.80.2.B General Revenue Service Charge Payment

- **Key Interim Business Process Concepts**

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations log into Florida PALM to run and review the GRSC Report</td>
<td>Wave</td>
</tr>
<tr>
<td>A&amp;A tracks outstanding GRSC payments for Wave organizations outside of Florida PALM</td>
<td>A&amp;A</td>
</tr>
<tr>
<td>Departmental GRSC payments are interfaced to Florida PALM to create journal entries</td>
<td>Wave A&amp;A</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.80.2.B General Revenue Service Charge Payment

- Variances from Standardized Business Processes
  - Outstanding GRSC payments are tracked externally instead of through the Florida PALM Customer Billing process
  - GRSC payments are recorded as journal entries instead of accounts payable vouchers

- Variances from Current Processes
  - GRSC Report will be generated from Florida PALM instead of the Report Distribution System (RDS)
Overview of Interim Business Process

I.80.2.B General Revenue Service Charge Payment

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>GR Service Charge Report – lists service charges by ChartField combination for each organization</td>
<td>N/A</td>
</tr>
<tr>
<td>InterUnit Journal Entry Report – provides a listing of all Inter/IntraUnit journal entries for a designated period recorded in Florida PALM</td>
<td>Journal Information</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - I.10.2 Enter and Process Inter/IntraUnit Journals
1.80.2.C PROCESS FUND LOANS
Overview of Interim Business Process
I.80.2.C Process Fund Loans

- Description of Interim Business Process
  - Addresses how Wave organizations request, receive, and make payment on Fund loans. It also describes how A&A records and tracks outstanding Fund loan balances for Wave organizations.

- Roles
  - DFS GL Journal Processor
  - Wave Organization Fund Cash Reporter
Overview of Interim Business Process
I.80.2.C Process Fund Loans

- Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations log into Florida PALM to run and review the Cash Balance and Activity Report</td>
<td>Wave</td>
</tr>
<tr>
<td>A&amp;A tracks outstanding Fund loans for Wave organizations outside of Florida PALM</td>
<td>A&amp;A</td>
</tr>
<tr>
<td>Wave organizations enter Departmental FLAIR transactions for Fund loans received to reconcile with Florida PALM cash balances</td>
<td>Wave</td>
</tr>
<tr>
<td>Departmental Fund Loan payments are interfaced to Florida PALM to create journal entries</td>
<td>Wave A&amp;A</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.80.2.C Process Fund Loans

- Variances from Standardized Business Processes
  - Outstanding Fund loan balances are tracked externally instead of through the Florida PALM Customer Billing process
  - Fund loan payments are recorded as a journal entry instead of an accounts payable voucher

- Variances from Current Processes
  - Cash Balance and Activity Report can be generated from Florida PALM
Overview of Interim Business Process

I.80.2.C Process Fund Loans

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash Balance and Activity Report – cash balance and related cash transactions for requested combination of ChartFields</td>
<td>Cash Balance and Transaction Information</td>
</tr>
<tr>
<td>InterUnit Journal Entry Report – provides a listing of all Inter/Intraunit journal entries for a designated period recorded in Florida PALM</td>
<td>Journal Information</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - 10.2.1 Create and Approve Journals
  - I.10.2 Enter and Process Inter/IntraUnit Journals
I.80.2.D CONSOLIDATED REVOLVING ACCOUNT (CRA) MANAGEMENT
Description of Interim Business Process

- Addresses how Wave organizations receive the CRA statements, reconcile activity, and manage reconciliation exceptions. This process also includes tracking CRA balances to support interest apportionment and the recording of CRA interest apportionment in Departmental FLAIR at the end of each month.

Roles

- DFS Bank Reconciliation Processor
- Wave Organization CRA Reporter
Overview of Interim Business Process
I.80.2.D Consolidated Revolving Account Management

- Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>CRA Bank statements are imported into Florida PALM to facilitate Treasury</td>
<td>Treasury</td>
</tr>
<tr>
<td>accounting and interest apportionment</td>
<td></td>
</tr>
<tr>
<td>Florida PALM interfaces CRA bank statement data to business systems</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations log into Florida PALM to run and review the CRA Statement</td>
<td>Wave</td>
</tr>
<tr>
<td>Report</td>
<td></td>
</tr>
<tr>
<td>Wave organizations log into Florida PALM to run and review the Apportionment</td>
<td>Wave</td>
</tr>
<tr>
<td>Report</td>
<td></td>
</tr>
<tr>
<td>Wave organizations manage and track CRA activity outside of Florida PALM</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations enter Departmental FLAIR transactions for apportioned</td>
<td>Wave</td>
</tr>
<tr>
<td>interest and administrative fees to reconcile with Florida PALM cash balances</td>
<td></td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.80.2.D Consolidated Revolving Account Management

- Variances from Standardized Business Processes
  - Bank reconciliation to reconcile bank transactions to payments recorded in AP and replenishments receipts recorded in AR occurs outside of Florida PALM

- Variances from Current Processes
  - Stop payments are requested in Florida PALM
  - Exceptions are managed through the Treasury Assistance Request Form in Florida PALM
  - Interest will be apportioned to the advancing fund
Overview of Interim Business Process
I.80.2.D Consolidated Revolving Account Management

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>CRA Bank Statement Report – provides the bank statement for sub accounts and CRA bank accounts</td>
<td>N/A</td>
</tr>
<tr>
<td>Apportionment Report – interest and administrative fee apportionment details by pool and participant</td>
<td>Investment Information</td>
</tr>
<tr>
<td>Wave Organization Revolving Fund Replenishment Report – details the amount due from the advancing fund to replenish the revolving fund activity</td>
<td>N/A</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.80.2.D Consolidated Revolving Account Management

- Relationship with Other Business Processes
  - 70.7.2 Distribute Interest Apportionment
  - 70.1.2 Manage Treasury Correspondence
  - 30.5.4 Cancel and Reissue Payments
  - I.30.3.A Process Vouchers
1.80.2.E Pilot InterUnit Payments and Transfers
Description of Interim Business Process

- Addresses how Pilot organizations transfer cash to Wave organizations. This interim business process includes A&A audit and approval.

Roles

- Pilot Organization AP Processor
- Wave Organization Deposit Processor
- DFS AP Approver
# Overview of Interim Business Process

I.80.2.E Pilot InterUnit Payments and Transfers

- Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pilot organizations create AP vouchers for payments or transfers to Wave organizations</td>
<td>Pilot, Wave</td>
</tr>
<tr>
<td>Florida PALM automatically creates Wave organization deposits for vouchers received from Pilot organizations</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations log into Florida PALM to update and process the deposits received from Pilot organizations</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations log into Florida PALM to run and review the InterUnit Deposit Report</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations enter Departmental FLAIR transactions for vouchers received from Pilot organizations to reconcile with Florida PALM cash balances</td>
<td>Wave</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.80.2.E Pilot InterUnit Payments and Transfers

- Variances from Standardized Business Processes
  - Vouchers received from Pilot organizations must be entered in Departmental FLAIR for Wave organizations

- Variances from Current Processes
  - Wave organizations log into Florida PALM to record the accounting for deposits received from Pilot organizations
Overview of Interim Business Process
I.80.2.E Pilot InterUnit Payments and Transfers

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>InterUnit Deposit Report – provides a listing of all InterUnit Deposits recorded in Florida PALM for a designated period</td>
<td>Deposit Information</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - 30.3.1 Process Vouchers
  - 30.4.1 Process Pay Cycle
1.80.2.F Annual Cash Management Improvement Act (CMIA) Report
Overview of Interim Business Process

I.80.2.F Annual CMIA Report

- Description of Interim Business Process
  - Addresses how the CMIA grant data for Wave organizations is compiled and how the clearance pattern is calculated and reported in accordance with CMIA.

- Roles
  - DFS CMIA Processor
  - Wave Organization Grant Manager
Overview of Interim Business Process
I.80.2.F Annual CMIA Report

Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Treasury gathers the Florida PALM COA coding for each CMIA grant from applicable</td>
<td>Treasury Wave</td>
</tr>
<tr>
<td>organizations and uploads to Florida PALM</td>
<td></td>
</tr>
<tr>
<td>Treasury generates the Wave Organization Clearance Pattern Report from Florida</td>
<td>Treasury</td>
</tr>
<tr>
<td>PALM</td>
<td></td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.80.2.F Annual CMIA Report

- Variances from Standardized Business Processes
  - Wave Organizations clearance pattern is calculated on voucher received date and not the payment schedule date

- Variances from Current Processes
  - Wave Organizations identify and provide Florida PALM COA coding for corresponding CMIA grants instead of FLAIR coding
  - Florida PALM calculates the CMIA clearance pattern
Overview of Interim Business Process

I.80.2.F Annual CMIA Report

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name – Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave Organization Clearance Pattern Report – details the clearance pattern for designated CMIA grants</td>
<td>N/A</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - 80.2.8 Monitor CMIA
QUESTIONS ABOUT THE INTERIM BUSINESS PROCESS MODELS

Cash Management (CM)
Wrap Up Day 1
## Wrap Up and Next Steps
### Interim Business Process Model Review

<table>
<thead>
<tr>
<th>Monday</th>
<th>Tuesday</th>
<th>Wednesday</th>
<th>Thursday</th>
<th>Friday</th>
</tr>
</thead>
<tbody>
<tr>
<td>11/11 Veterans Day</td>
<td>11/12</td>
<td>11/13 Workshop Session 1 Day 1 (9 am - 12 pm)</td>
<td>11/14 Workshop Session 1 Day 2 (9 am - 12 pm)</td>
<td>11/15</td>
</tr>
<tr>
<td>11/18</td>
<td>11/19</td>
<td>11/20 Workshop Session 2 Day 1 (8:30 - 11:30 am)</td>
<td>11/21 Office Hours (9 -10 am) (via phone)</td>
<td>11/22</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12/2</td>
<td>12/3</td>
<td>12/4</td>
<td>12/5 Office Hours (9 -10 am) (via phone)</td>
<td>12/6</td>
</tr>
<tr>
<td>12/9</td>
<td>12/10</td>
<td>12/11</td>
<td>12/12</td>
<td>12/13 Organization Collective Feedback Due</td>
</tr>
</tbody>
</table>
Agenda

Day 2:

- Day 1 Recap
- Overview of Interim Business Processes
  - Account Management and Financial Reporting
  - Budget Management
- Reports Approach and Plan
- Interfaces Approach and Plan
- Conversions Approach and Plan
- Wrap Up/Questions
OVERVIEW OF BUSINESS PROCESS GROUPING

Account Management and Financial Reporting (AMFR)
Overview of Business Process Grouping

AMFR Interim Overview

- AMFR includes a collection of business processes that:
  - establish the statewide accounting framework and provide for agency-specific accounting and reporting needs;
  - update to the general ledger and maintain the integrity of the financial activities; and
  - perform month-end and year-end closing and reporting activities.
Business Process Grouping

Account Management and Financial Reporting

Business Process

10.1 Set Up and Maintain Chart of Accounts

10.1.1 Add or Modify Agency ChartField Values

10.1.2 Add or Modify Statewide ChartField Values

10.1.3 Add or Modify Fund Values

10.1.4 Add or Modify Project Values

10.1.5 Set Up and Maintain Trees and/or Combination Edits

Business Subprocess

10.2 Enter and Process Journals

10.2.1 Create and Approve Journals

10.2.2 Edit Check

10.2.3 Sub-module Journal Entry

10.3 Analyze and Reconcile Accounts

10.3.1 Perform Month-End Close

10.3.2 Perform Allocations

10.4 Close General Ledger and Consolidate Results

Interim Business Process Models
November 2019
Interim Business Process

Standardized Business Process

Business Process Grouping

Account Management and Financial Reporting

10.1 Set Up and Maintain Chart of Accounts

10.2 Enter and Process Journals

10.3 Analyze and Reconcile Accounts

I.10.1 Establish Statewide Values

I.10.2 Enter and Process Inter/IntraUnit Journals

I.10.3 Analyze, Reconcile and Close Accounts
I.10.1 Establish Statewide Values
Overview of Interim Business Process

I.10.1 Establish Statewide Values

- Description of Interim Business Process
  - Addresses how statewide values are added or modified in Departmental FLAIR and Florida PALM.

- Roles
  - Wave Organization COA Requester
  - DFS COA Maintainer
## Overview of Interim Business Process

### I.10.1 Establish Statewide Values

- Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations log into Florida PALM to submit the request and supporting documentation to have a statewide values created or modified</td>
<td>Wave</td>
</tr>
<tr>
<td>DFS A&amp;A adds newly created or modified values to the Florida PALM COA crosswalk</td>
<td>A&amp;A</td>
</tr>
<tr>
<td>Wave organizations review and reconcile the Florida PALM COA crosswalk and communicate any reconciling items to A&amp;A for updates to the crosswalk</td>
<td>Wave A&amp;A</td>
</tr>
<tr>
<td>Wave organizations leverage the Florida PALM COA crosswalk extract for organization purposes (i.e., agency business systems, offline reconciliations)</td>
<td>Wave</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.10.1 Establish Statewide Values

- Variances from Standardized Business Processes
  - Bi-directional crosswalk of values between Florida PALM and Departmental FLAIR

- Variances from Current Processes
  - A&A will title all new fund, general ledger, and object code values within Departmental FLAIR
Overview of Interim Business Process
I.10.1 Establish Statewide Values

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name - Description</th>
<th>Type of Data Transferred to IW?</th>
</tr>
</thead>
<tbody>
<tr>
<td>New Budgetary Code Report – report listing of new budgetary codes loaded within Florida PALM</td>
<td>Title Information (FLAIR Titles)</td>
</tr>
<tr>
<td>Crosswalk Value Report – report listing all statewide FLAIR values and the corresponding Florida PALM values</td>
<td>N/A</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - 10.1.3 – Add or Modify Fund Values
  - 70.1 – Manage Banking Relationships
I.10.2 Enter and Process Inter/IntraUnit Journals
Description of Interim Business Process

- Addresses how Wave organizations transfer funds for payments of goods and services, expenditure refunds and moves, and transfers to Wave or Pilot organizations. It also addresses how Wave organizations perform revenue to revenue transfers to another Wave organization, and how Pilot organizations initiate revenue to revenue transfers to Wave organizations.

Roles

- DFS GL Journal Approver
- Initiating Wave Organization GL Journal Processor
- Pilot Organization GL Journal Processor
- Receiving Wave Organization Reporter
Overview of Interim Business Process

I.10.2 Enter and Process Inter/IntraUnit Journals

- Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations journal transfer vouchers are interfaced to create GL journal entries within Florida PALM</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations revenue transfers are interfaced to Florida PALM to create GL journal entries to a Wave organization</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations log into Florida PALM to review and manage exceptions</td>
<td>Wave</td>
</tr>
<tr>
<td>A&amp;A review and approve/deny GL journal entries subject to audit</td>
<td>A&amp;A</td>
</tr>
<tr>
<td>Wave organizations request deletion for journal entries denied by DFS audit</td>
<td>Wave</td>
</tr>
</tbody>
</table>
## Overview of Interim Business Process

### I.10.2 Enter and Process Inter/IntraUnit Journals

- **Key Interim Business Process Concepts (cont.)**

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave and Pilot organizations log into Florida PALM to run and review the InterUnit Journal Entry Report</td>
<td>Wave, Pilot</td>
</tr>
<tr>
<td>Wave organizations enter Departmental FLAIR transactions to reconcile with Florida PALM posted balances</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations enter Departmental FLAIR transactions to record a Revenue to Revenue transfer received from a Pilot organization</td>
<td>Wave</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.10.2 Enter and Process Inter/IntraUnit Journals

- Variances from Standardized Business Processes
  - Payment of goods/services and transfers requiring budget authority are recorded as GL journal entries instead of accounts payable vouchers

- Variances from Current Processes
  - Wave organizations log into Florida PALM to manage exceptions
### Overview of Interim Business Process

#### I.10.2 Enter and Process Inter/IntraUnit Journals

**Reports**

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name - Description</th>
<th>Type of Data transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transactional Reports – listing of GL Journal Entries</td>
<td>FLAIR Account Balances</td>
</tr>
<tr>
<td>InterUnit Journal Entry Audit Report – provides a listing of all Inter/IntraUnit journal entries identified for audit review</td>
<td>Voucher Status Information</td>
</tr>
<tr>
<td>Ledger Inquiry Report – listing of all successfully posted journals including Inter/IntraUnit journals</td>
<td>FLAIR Account Balances</td>
</tr>
<tr>
<td>Deleted Journals Report – listing of all journals that were deleted</td>
<td>Voucher Status Information</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.10.2 Enter and Process Inter/IntraUnit Journals

- Reports (cont.)

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name - Description</th>
<th>Type of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Edit Error Report – listing of journals that have failed edit check</td>
<td>N/A</td>
</tr>
<tr>
<td>InterUnit Journal Entry Report – provides a listing of all Inter/IntraUnit journal entries for a designated period recorded in Florida PALM</td>
<td>Voucher Information</td>
</tr>
<tr>
<td>InterUnit Journal Entry Reconciliation Report – assists in reconciling initiating and receiving agency Inter/IntraUnit journals</td>
<td>Voucher Information</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - 10.2.1 Create and Approve Journals
  - I.60.2.C Wave Revenue Reclass
I.10.3 Analyze, Reconcile, and Close Accounts
Overview of Interim Business Process

I.10.3 Analyze, Reconcile, and Close Accounts

- Description of Interim Business Process
  - Describes how Wave organizations approach reconciliations and year end close processing

- Roles
  - Wave Organization GL Close Processor
  - DFS Financial Statement Processor
Overview of Interim Business Process

I.10.3 Analyze, Reconcile, and Close Accounts

Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations reconcile Departmental FLAIR and Florida PALM regularly</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations log into Florida PALM to manage reconciling items affecting</td>
<td>Wave</td>
</tr>
<tr>
<td>Florida PALM balances</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations perform year end closing activities within Departmental FLAIR</td>
<td>Wave</td>
</tr>
<tr>
<td>A&amp;A perform year end closing activities in Florida PALM</td>
<td>A&amp;A</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.10.3 Analyze, Reconcile, and Close Accounts

- Variances from Standardized Business Processes
  - Wave organization balances are interfaced from Departmental FLAIR into Florida PALM

- Variances from Current Processes
  - Wave organizations will log into Florida PALM in addition to Departmental FLAIR to perform reconciliation
## Overview of Interim Business Process

### I.10.3 Analyze, Reconcile, and Close Accounts

### Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name - Description</th>
<th>Types of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Payment Register – a listing of electronic, warrant, or manual payments made as part of the pay cycle</td>
<td>Payment Information</td>
</tr>
<tr>
<td>Voucher Aging Schedule – an aging schedule of vouchers pending approval from DFS</td>
<td>Voucher Information</td>
</tr>
<tr>
<td>Budget Exceptions – displays budget exceptions and adequate reasons for the exceptions with the capability to drill into transactions</td>
<td>Voucher Status Information</td>
</tr>
<tr>
<td>Cash Exceptions – a listing of payments with cash exceptions</td>
<td>Voucher Status Information</td>
</tr>
<tr>
<td>Source Module Transactional Reports – detailed reports of successful posted transactions and exceptions</td>
<td>N/A</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.10.3 Analyze, Reconcile, and Close Accounts

- Reports (cont.)

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name - Description</th>
<th>Types of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Journal Inquiry – online display of requested journals</td>
<td>Voucher Information</td>
</tr>
<tr>
<td>GL Reconciliation Report – report to display reconciled and unreconciled balances by ChartField and source module</td>
<td>N/A</td>
</tr>
<tr>
<td>Florida PALM Accounts Payable Balance Report – report of the GL balance for accounts payable</td>
<td>FLAIR Account Balances</td>
</tr>
<tr>
<td>Florida PALM Accounts Receivable Report – report of GL balance for accounts receivable</td>
<td>FLAIR Account Balances</td>
</tr>
<tr>
<td>Cash Transfer Report – provides a listing of all Interunit Cash Transfers for a designated period recorded in Florida PALM</td>
<td>Voucher Information</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.10.3 Analyze, Reconcile, and Close Accounts

- Reports (cont.)

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name - Description</th>
<th>Types of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash Transfer Reconciliation Report – detailed list of initiating and receiving organization cash transfers</td>
<td>FLAIR Account Balances</td>
</tr>
<tr>
<td>Atypical Balance Report – provides atypical balances by account values, report could include additional ChartFields such as Business Unit and Fund</td>
<td>FLAIR Account Balances</td>
</tr>
<tr>
<td>Inconsistent Transfer Report – details journal entries containing transfer and non-transfer accounts</td>
<td>N/A</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - 10.4 – Close General Ledger and Consolidate Results
Questions About the Interim Business Process Models

Account Management and Financial Reporting (AMFR)
OVERVIEW OF BUSINESS PROCESS GROUPING
Budget Management (BM)
Overview of Business Process Grouping

BM Overview

- BM includes a collection of business processes that:
  - manage the tracking and reporting of appropriations, allotments, and revenue estimates, including the exchange of information between LAS/PBS and Florida PALM;
  - manage budget exceptions; and
  - support the close-out of appropriations
Overview of Business Process Grouping

BM Overview

- BM focuses on the functions of the Budget Ledger (also known as Commitment Control (KK) Ledger)
  - Tight integration with the General Ledger, but is used for budgetary control
  - Allows setup of structures and rules that define the budget checking process
  - Serves as a management tool to record and monitor activities ("actuals") against budgeted targets
  - Provides details needed to produce reports on budgets and related activities
Business Process Grouping

Business Process

20.1 Enter and Process Budget Journals
- 20.1.1 Post Appropriations
- 20.1.2 Manage Agency Allotments
- 20.1.3 Budget Ledger Reconciliation
- 20.1.4 Estimated Revenue

20.2 Budget Execution and Management

20.3 Perform Budget Close
- 20.3.1 Carry Forward
- 20.3.2 Certified Forward

November 2019
Interim Business Process Models
I.20.2 Budget Execution and Management
Overview of Interim Business Process
I.20.2 Budget Execution and Management

- Description of Interim Business Process
  - Addresses how Wave organization transactions are budget checked in Florida PALM

- Roles
  - Wave Organization Exception Transaction Processor
  - Wave Organization Budget Office
  - DFS Budget Maintainer
Overview of Interim Business Process
I.20.2 Budget Execution and Management

- Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations validate interfaced Departmental FLAIR transactions have</td>
<td>Wave</td>
</tr>
<tr>
<td>sufficient appropriations (releases and reserves)</td>
<td></td>
</tr>
<tr>
<td>Wave organizations and A&amp;A review and manage Budget Checking exceptions in</td>
<td>Wave A&amp;A</td>
</tr>
<tr>
<td>Florida PALM and Departmental FLAIR as appropriate</td>
<td></td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
1.20.2 Budget Execution and Management

- Variances from Standardized Business Processes
  - Wave organizations access Florida PALM to update or delete Budget Check exceptions

- Variances from Current Processes
  - Wave organizations may access Florida PALM’s Budget Overview Screen to check available budget balance
Overview of Interim Business Process
I.20.2 Budget Execution and Management

- Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name - Description</th>
<th>Types of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Budget Exceptions Report – displays budget exceptions and adequate reasons for the exceptions with the capability to drill into transactions</td>
<td>Voucher Status Information</td>
</tr>
</tbody>
</table>

- Relationship with Other Business Processes
  - 20.1.2 – Manage Agency Allotments
  - 30.2 – Establish and Maintain Encumbrances
  - I.30.3.A – Process Vouchers
  - I.30.7 – Accounts Payable Period Close
20.3 **PERFORM BUDGET CLOSE**
Overview of Interim Business Process

I.20.3 Perform Budget Close

- Description of Interim Business Process
  - Addresses how Wave organizations will close the fiscal year for the Carry Forward and Certified Forward processes.

- Roles
  - DFS GL Close Processor
  - Wave Organization Budget Processor
Overview of Interim Business Process

I.20.3 Perform Budget Close

Key Interim Business Process Concepts

<table>
<thead>
<tr>
<th>Process/Activity</th>
<th>Impacted Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wave organizations prepare for budget close and manage incurred obligations in Departmental FLAIR</td>
<td>Wave</td>
</tr>
<tr>
<td>Wave organizations and A&amp;A review and reconcile the Departmental FLAIR budget closing data interfaced to Florida PALM</td>
<td>Wave A&amp;A</td>
</tr>
<tr>
<td>Wave organizations log into Florida PALM to review appropriations Carry Forward and Certified Forward balances and obligations</td>
<td>Wave</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process

I.20.3 Perform Budget Close

- Variances from Standardized Business Processes
  - A&A and Wave organizations review and reconcile budget closing data interfaced to Florida PALM

- Variances from Current Processes
  - Wave organization reviews and reconciles the Florida PALM COA crosswalk and communicate any reconciling items to A&A for updates to the crosswalk
## Overview of Interim Business Process

**I.20.3 Perform Budget Close**

### Reports

<table>
<thead>
<tr>
<th>Florida PALM Interim Report Name - Description</th>
<th>Types of Data Transferred to IW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Carry Forward Monitoring Report – provides organizations incurred obligations and balances</td>
<td>N/A</td>
</tr>
<tr>
<td>Carry Forward Balances Report – provides balances to be carried forward and not included in reversions process</td>
<td>FLAIR Carry Forward Account Balances</td>
</tr>
<tr>
<td>Crosswalk Value Report – Report listing all statewide Florida PALM values and the corresponding FLAIR values.</td>
<td>N/A</td>
</tr>
<tr>
<td>Carry Forward/Certified Forward Variance Reports – includes the Operating and fixed capital outlay (FCO) transactions not approved and any other necessary adjustments</td>
<td>FLAIR Account Balances</td>
</tr>
<tr>
<td>Continuing Appropriations Balances Report – configured annually to provide available balances per organizations to be rolled forward, as granted continuing appropriation, as defined under Section 216.011(1)(i), F.S.</td>
<td>FLAIR Account Balances</td>
</tr>
</tbody>
</table>
Overview of Interim Business Process
I.20.3 Perform Budget Close

- Relationship with Other Business Processes
  - 20.1 – Enter and Process Budget Journal
  - 30.7 – Accounts Payable Period Close
  - I.30.7 – Accounts Payable Period Close
QUESTIONS ABOUT THE INTERIM BUSINESS PROCESS MODELS

Budget Management (BM)
BREAK
REPORTS APPROACH AND PLAN
Objectives

- Provide an overview of Florida PALM Reporting process
- Discuss steps on how to obtain confirmation on Reports inventory
# Reporting Process

<table>
<thead>
<tr>
<th>Step</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Identify Data Needs</td>
<td>Requirements</td>
</tr>
<tr>
<td>Confirm/Classify Data Needs</td>
<td>Standardized Business Process Models</td>
</tr>
<tr>
<td>Compile Inventory</td>
<td>Interim Business Process Models</td>
</tr>
<tr>
<td>Design/Build Reports</td>
<td>Reports Inventory</td>
</tr>
<tr>
<td>Test/Publish Reports</td>
<td>RICEFW Inventory</td>
</tr>
</tbody>
</table>

- Functional/Technical Design & Build Specifications
- System Test
- User Acceptance Test

**Business Intelligence Build and Test**

---

**November 2019**
The diagram represents a solution hierarchy, consisting of target users, reporting needs, and the associated tools supporting each.
Reporting Enablement

- Florida PALM will enable self-service reporting as organizations onboard
  - Organizations will be asked to identify report writers as part of Workforce Transition
  - Training will be provided to report writers on how to use the tools and data available
  - Report writers will be able to create reports using PS Query and Oracle Business Intelligence
  - Report writers will have opportunity to create self-service queries and BI reports during UAT that will be migrated into Production at go-live
Sample Inquiry Screen - Journal Inquiry

[Image of a sample inquiry screen for journal inquiry within a General Ledger system, showing various criteria and data fields for searching journals.]
Sample PS Query - Journal Totals

GLC7501_TOTALS - Journal Totals

Business Unit [33000]  
Ledger Grp: ACTUALS

Journal Date: 01/01/2016

View Results

Download results in: Excel Spreadsheet CSV Text File XML File (13 MB)

View All

<table>
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<tr>
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FloridaPALM
Planning, Accounting, and Ledger Management

Interim Business Process Models
November 2019
## Government Wide - Statement of Activities

For the Fiscal Year Ended June 30, 2019

<table>
<thead>
<tr>
<th>Functions/Programs</th>
<th>Expenses</th>
<th>Program Revenues</th>
<th>Net (Excess) Revenue and Changes in Net Assets</th>
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<td></td>
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<td>Overall</td>
</tr>
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<td>12 Governmental activities</td>
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</tr>
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<td>13 General government</td>
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<tr>
<td>14 Human Services</td>
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<tr>
<td>15 Education</td>
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<td>$ 0</td>
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<tr>
<td>16 Public safety and Corrections</td>
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<tr>
<td>17 Agriculture and natural resources</td>
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<tr>
<td>18 Highways and other transportation</td>
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<td>19 Health and environment</td>
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<tr>
<td>20 Economic development</td>
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<tr>
<td>21 Incentive expenses</td>
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<td>22 Total governmental activities</td>
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<tr>
<td>23 Business-type activities</td>
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<td>24 Water pollution and safety</td>
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<td>25 Health care stabilization</td>
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<tr>
<td>26 Employment security</td>
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<tr>
<td>27 Workers' compensation</td>
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<td>28 Lottery</td>
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<td>$ 0</td>
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<tr>
<td>29 Intergovernmental transfer program</td>
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</tr>
<tr>
<td>30 Transportation revolving funds</td>
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<tr>
<td>31 Total business-type activities</td>
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<td>32 Total primary government</td>
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</tr>
<tr>
<td>33 Total component units</td>
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<td>$ 0</td>
<td>$ 0</td>
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</tbody>
</table>

The notes to the financial statements are an integral part of this statement.

---

**General revenues:**
- Taxes:
  - Property tax: $ 0
  - Income and substanee tax: $ 0
  - Sales and excise tax: $ 0
  - Gross receipts tax: $ 0
  - Investment earnings: $ 0
  - Other revenue: $ 0

---

**FloridaPalm**
Planning, Accounting, and Ledger Management

Interim Business Process Models
November 2019
Next Steps

- Pilot Organization Expectations
  ◦ Confirm Interim reports as part of interim business process model review and include on feedback form which is due 12/13
  ◦ Reports Confirmation Package for standard reports sent out on 10/28 and feedback is due on 11/22

- Wave Organization Expectations
  ◦ Confirm Interim reports as part of interim business process model review and include on feedback form which is due 12/13
  ◦ Engage in collaborative confirmation activity based on wave implementation schedule
INTERFACES APPROACH AND PLAN
Objectives

- Provide a high-level overview of the interface approach and standards
- Review current and upcoming interface related activities for Pilot and Wave organizations
Standards and Formats

- Interfaces - refer to data exchanges between Florida PALM and other systems
- Integrations - refer to data exchanges within the Florida PALM components
- Standard interfaces - use the format provided by Florida PALM
- Legacy-Like interfaces - use the existing legacy format to the extent possible
Approach

- Introduce expandable and modern interface capabilities
- Minimize change to retiring systems
- Allow organization adoption with wave deployments
- Collaborate regularly with interfacing partners
## Standards and Formats

<table>
<thead>
<tr>
<th>Interface Type</th>
<th>Primary Purpose</th>
<th>Format</th>
<th>Transfer Protocol</th>
<th>Process Method</th>
<th>Frequency</th>
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</thead>
<tbody>
<tr>
<td>Flat File</td>
<td>High data volume transfers</td>
<td>Fixed or Delimited</td>
<td>SFTP</td>
<td>Batch</td>
<td>Daily, Weekly, Month, Ad-Hoc</td>
</tr>
<tr>
<td>Web Service</td>
<td>Intended for lower data volume, real or near real time transfers</td>
<td>XML or JSON</td>
<td>HTTPS</td>
<td>Service (synchronous or asynchronous modes)</td>
<td>Real-time / near real-time</td>
</tr>
<tr>
<td>Spreadsheet Upload</td>
<td>Transactions, batched up via file upload by a human operator</td>
<td>Excel</td>
<td>HTTPS</td>
<td>PS Component Interface</td>
<td>Daily, Weekly, Month, Ad-Hoc</td>
</tr>
</tbody>
</table>
Pilot Organization Interfaces

- Will adopt Florida PALM standard interfaces
- Interface packages with mapping of Florida PALM to current-state
  - Packages sent in October
  - Ongoing collaboration meetings through December
Wave Organization Interfaces

- Will utilize Central FLAIR and CMS Legacy-Like interfaces where appropriate
- Interface packages for organizations with inbound Central FLAIR interfaces
  - Packages sent in October
  - Ongoing collaboration meetings through December
- Future outreach for other Wave organizations to confirm interface usage at Pilot
Wave Organization Interfaces

- Central FLAIR standard outbound interfaces
  - AD1: Account Description File
  - MB1: Master Balance
  - TR1: Transaction History

- CMS standard outbound interfaces
  - Bank Transactions
  - ACH Notice of Change
  - Debit Memos for returns and adjustments including Paper return images
Next Steps

- Draft layouts for standard Florida PALM interfaces provided in January 2020
- Interface Workshops and office hours will be held in February/March 2020
- Interface Connection Inventory will be compiled by April 2020 through ongoing organization collaboration
QUESTIONS
CONVERSIONS APPROACH AND PLAN
Objectives

- Provide a high-level overview of the conversion approach and inventory
- Review current and upcoming conversion related activities for Pilot and Wave organizations
Approach

- Convert data for go-forward transactional processing
- Perform data cleansing in the legacy systems to the extent possible
- Conduct multiple mock conversions to confirm data conversions
- Collaborate regularly with conversion partners
<table>
<thead>
<tr>
<th>Module</th>
<th>Conversion Data</th>
<th>Level of Data Conversion</th>
<th>Anticipated Source System</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Ledger</td>
<td>• Ledger</td>
<td>• Opening Balances for Statewide level data</td>
<td>• FLAIR</td>
</tr>
<tr>
<td>Budget Management</td>
<td>• Budgets</td>
<td>• Statewide Budget and Pilot organization Budgets</td>
<td>• FLAIR</td>
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<td></td>
<td>• Encumbrances</td>
<td>• Open encumbrances balances</td>
<td>• FLAIR or MyFloridaMarketPlace</td>
</tr>
<tr>
<td>Accounts Payables</td>
<td>• Vendors</td>
<td>• Active Vendors/Suppliers</td>
<td>• FLAIR</td>
</tr>
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<td>• 1099 Balances</td>
<td>• Calendar Year-to-Date 1099-eligible payment by Vendor</td>
<td>• FLAIR</td>
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<td>• Employee Data</td>
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<td>Cash Management</td>
<td>• Cash Balances</td>
<td>• Cash Balances by Bank Account</td>
<td>• CMS</td>
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<td>• Investments</td>
<td>• Active Investments/investment Balances</td>
<td>• CMS</td>
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<td>• Outstanding Warrants</td>
<td>• Detailed unredeemed warrants</td>
<td>• FLAIR/CMS/organization CRA only</td>
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<tr>
<td>Receivables</td>
<td>• Open Receivables</td>
<td>• Detailed open receivables</td>
<td>• Organization</td>
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</table>
## Conversion Inventory

<table>
<thead>
<tr>
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<th>Conversion Data</th>
<th>Level of Data Conversion</th>
<th>Anticipated Source System</th>
</tr>
</thead>
<tbody>
<tr>
<td>Billing</td>
<td>• Customers</td>
<td>• Active Customers</td>
<td>• FLAIR or organization</td>
</tr>
<tr>
<td></td>
<td>• Contracts</td>
<td>• Active Contracts</td>
<td>• FLAIR, FACTS or organization</td>
</tr>
<tr>
<td></td>
<td>• Projects</td>
<td>• Active Projects</td>
<td>• FLAIR or organization</td>
</tr>
<tr>
<td></td>
<td>• Grants</td>
<td>• Active Grants</td>
<td>• FLAIR or organization</td>
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<td>• FLAIR or organization</td>
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</tr>
<tr>
<td></td>
<td>• FLAIR, FACTS or organization</td>
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<td>• Fixed Assets</td>
<td>• Asset with depreciable Balances</td>
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Conversion Partner Activities

- Perform data cleansing in source system(s)
- Perform organization source system mapping
- Consume conversion layouts
- Generate extracts
- Perform online post-conversion validation
Next Steps

- Draft layouts for Florida PALM conversions provided in January 2020
- Conversion Inventory will be compiled by February 2020 through ongoing organization collaboration
- Conversion Workshops and office hours will be held in February/March 2020
- Final layouts for Florida PALM conversions provided in April 2020
Wrap Up
## Wrap Up and Next Steps
### Interim Business Process Model Review

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<th>Tuesday</th>
<th>Wednesday</th>
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<th>Friday</th>
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<td>11/13 Workshop Session 1 Day 1 (9 am - 12 pm)</td>
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<td>12/10</td>
<td>12/11</td>
<td>12/12</td>
<td>12/13 Organization Collective Feedback Due</td>
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</table>
Embrace the Journey
Contact Information

Contact Us
FloridaPALM@myfloridacfo.com

Project Website
www.myfloridacfo.com/floridapalm/