



# Monthly Independent Verification and Validation Assessment Report

Florida Planning, Accounting, and Ledger Management (PALM)  
Project

June 2017

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Version 1.0

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# Executive Summary

Grant Thornton is independently assessing the Florida PALM Project, providing observations and recommendations on the program management processes in place to manage, monitor, and control the Florida PALM Project through its current phase known as Pre-Design, Development, and Implementation (Pre-DDI). This report presents the technical, schedule, and cost risks identified by the Grant Thornton Independent Verification and Validation (IV&V) Team for the Pre-DDI phase of the Florida PALM Project during the month ending June 30, 2017.

The IV&V Team, as an unbiased reviewer, has independently reviewed key Project performance indicators and assessed Project risk utilizing both critical criteria identified by the Agency for State Technology (AST), as well as Grant Thornton's project assessment framework. Based on this review, the IV&V Team assesses the risk status of the Florida PALM Project as "Yellow" indicating that the Project currently faces a set of challenges that, if left unmanaged, could negatively impact the Project's outcome in terms of schedule, budget, or quality.

Overall risk status of Florida PALM Project:



The Project continues to support the Department's procurement activities to move from evaluation to negotiation. The Project was anticipating negotiations would begin in June, however, negotiations have not started. The impact of the delay of the start of negotiations has not yet been determined.

During the month of June, the Project has continued to focus on performing planned pre-DDI readiness activities including finalizing the Data Management Plan, and performing detailed activity planning for the coming fiscal year. The Project effectively used its schedule management processes to balance between scheduled activities and the needs of the ITN.




During this period, Grant Thornton completed a review of implementation readiness within the Department of Financial Services to include the Office of Information Technology (OIT), Accounting and Auditing (A&A), and the Treasury. Initial results and preliminary assessment of this review was presented to the Project and Project Sponsors. A summary of the assessment and recommendations is included on page 7 of this Assessment Report.

## Key Project Indicators







| Indicator   | Status  |
|---|---------|
| Is the Project on schedule?   | NO      |
| Is the Project expected to remain on schedule?                                | Unknown |
| Is the Project currently within budget as defined in the spend plan?          | YES     |
| Is the Project expected to remain within budget as defined in the spend plan? | YES     |
| Were any Project changes proposed or approved during this assessment period?  | NO      |

## Assessment of Project Risk

Throughout this assessment, Grant Thornton uses a red-yellow-green indicator to evaluate the status of each area and metric. The table below contains an explanation of each status indicator:

| Image   | Description  |
|---|--|
|  | <b>Red.</b> The assessment category or area faces a challenge or set of challenges that threatens the Project's outcome in terms of schedule, cost, or quality. The Project Team should take corrective action immediately.  |
|  | <b>Yellow.</b> The assessment category or area faces a challenge or set of challenges that could, if left unmanaged, negatively impact the Project's outcome in terms of schedule, cost, or quality in the future. The Project Team should prioritize corrective action. |
|  | <b>Green.</b> The assessment category or area is on track without material issues. The Project Team should consider any recommendation offered by the IV&V Team as process improvement opportunities only.   |

The IV&V Team utilizes the lowest indicator in each sub-category to determine the summary risk rating. A more detailed view of the elements that comprise each sub-category can be found in the Detailed Assessment Section beginning on Page 8 of this Assessment Report.

| Project Area  | Current indicator   | May 2017  | April 2017  |
|---|---|---|---|
| 1. Project Execution - Critical Criteria<br>1.1. Scope management and change control<br>1.2. Schedule management<br>1.3. Cost management<br>1.4. Risk management<br>1.5. Issue management |  |  |  |
| 2. Project Governance<br>2.1. Governance<br>2.2. Decision management  |  |  |  |

| Project Area  | Current indicator | May 2017 | April 2017 |
|---|-------------------|----------|------------|
| 3. Project Execution - Additional Criteria<br>3.1. Performance management<br>3.2. Staffing management<br>3.3. Action item management                        | G                 | G        | G          |
| 4. Procurement and Contracts<br>4.1. Procurement and vendor management  | G                 | G        | G          |
| 5. Quality Management<br>5.1. Quality management<br>5.2. Deliverable management   | G                 | G        | G          |
| 6. Communications and Outreach<br>6.1. Communications management<br>6.2. Stakeholder management<br>6.3. Content management<br>6.4. Collaboration management | G                 | G        | G          |

As part of the IV&V process for assessing and reporting on identified risks, we have applied a value assessment to each area which guides the determination of the priority, or relative impact, an individual observation can have on the entire Project. A description and explanation of this value assessment approach and the most recent results can be found in Appendix 1 beginning on Page 35.


### Findings Addressed This Assessment Period

The following table summarizes all findings that have been addressed this assessment period.

| Summary Finding  | Current indicator | Area(s) impacted | New Observation |
|--|-------------------|------------------|-----------------|
| There were no open findings or recommendations addressed during the month of June. |                   |                  |                 |

### Summary Findings and Recommendations

The following table summarizes any “Red” or “Yellow” findings from the detailed assessment section of the report and maps our associated observations/recommendations to the Project Health Indicator presented in our overall risk reporting:

| Summary Finding  | Current indicator   | Area(s) impacted          | Recommendation   |
|--|---|---------------------------|--|
| <b>F13.</b> The Department has not set the competitive range in accordance with the published schedule which impacts the ability to name negotiators and perform the remaining procurement activities. |  | 1.2 – Schedule Management | The Department should complete the task to establish the competitive range as soon as possible and assess the impact of the delay to the remaining negotiations activities and schedule. |

## DFS Implementation Readiness Summary Results and Recommendations

As a part of our ongoing review of the Florida PALM Project (the Project), Grant Thornton reviewed the readiness of key DFS Stakeholders to participate in activities expected in the Pre-Design, Development, and Implementation (Pre-DDI) phases and the upcoming DDI phase of the Florida PALM Project. The readiness assessment was specifically designed to analyze the ability for A&A, Treasury, and OIT (DFS Stakeholders) to support the Florida PALM Project activities related to data; system integration and interfaces; policy and procedures; lifecycle management; and staffing. This assessment was conducted through documentation review and by interviewing key Stakeholders and Project Team members. It is primarily prospective, focusing on the likely future needs of the Project and its DFS Stakeholders with the goal of helping to facilitate the appropriate level of Stakeholder participation in the Florida PALM Project when it is needed.

One of the primary themes of the initial recommendations is focused on the communication of needs between the Project and DFS, and ensuring that DFS has the right resources in place and available to fulfill critical Project information and other requests as they are made. The following provide a high-level summary of the recommendations.

- Florida PALM should establish a formal process for requesting and tracking input from its DFS Stakeholders
- The DFS Stakeholders should establish processes to notify Florida PALM of changes to policies, regulations, or other external activities which could have an impact on the Project
- Florida PALM should establish expectations where known for DFS Stakeholder resource needs (e.g., subject matter experts) in supporting the Florida PALM Project
- The DFS Stakeholders should develop a plan and approach to support the likely requests from Florida PALM. Some examples of information that are best provided by the DFS Stakeholders includes details on current business processes and systems, responding to data and other information requests, and providing detailed process knowledge to support scoping and design decision making







While there are no strict time targets for the individual recommendations, they should be implemented before the beginning of DDI to prevent significant execution risk to the DDI phase of the Project.

# Detailed Assessment

## 1. Project Execution - Critical Criteria

### 1.1 Scope Management and Change Control







#### Key Metrics

| Item   | Observed | Current Status  | Previous Status   | Notes   |
|--|----------|---|---|---|
| Number of open change requests   | 0        |  |  | The Project did not open nor process any change requests during this assessment period. |
| Number of change requests processed this period with final determination | 0        |  |  |   |
| Number of open change requests in overdue status                         | 0        |  |  |   |

#### Process Observation Summary







The process for managing changes to the Project is defined within the Project Management Plan. Requests for Project changes are logged in the Project's Change Request Log. The Change Request Log is available to all Project Team members and provides visibility into the Project Team members who review changes, any disposition considerations, and a record of approval including any escalations that were required.



| Assessment Category   | Findings and Observations  | Recommendations                | Status  |
|---|--|--------------------------------|---|
| <p>Verify pending Project changes and decisions are clearly communicated to impacted Stakeholders in the appropriate level of detail.</p>               | <p>Project decisions and change orders are documented in the Project's SharePoint site and reviewed with the appropriate stakeholders per the Project's Project Management Plan (PMP).</p> | <p>No new recommendations.</p> | <p>Current<br/> <br/>                     Previous<br/> <br/>                     Trend<br/> </p>  |
| <p>Verify any changes to the Project scope adhere to the defined change control processes and the appropriate governance levels for change process.</p> | <p>There were no changes processed during this assessment period.</p>  | <p>No new recommendations.</p> | <p>Current<br/> <br/>                     Previous<br/> <br/>                     Trend<br/> </p> |




## 1.2 Schedule Management







### Key Metrics

| Item                             | Observed | Current Status  | Previous Status   | Notes   |
|----------------------------------|----------|---|---|---|
| Schedule Performance Index (SPI) | 1.0      |  |  | Revision of Project schedule reviewed was dated 06/30/2017.   |
| % Complete                       | 84%      |  |  | Percent complete has been reduced from 93% in the prior reporting period to 84% currently due to the addition of FY 17-18 tasks to the Project Master Schedule (PMS). |
| Number of Late Tasks             | 5        |  |  | Three of the late tasks are related to negotiations.  |

### Process Observation Summary



The process for managing the project schedule is defined within the Project Management Plan and includes maintenance of a Project Master Schedule (PMS) incorporating activities performed by all Project members and work Tracks. During this assessment period, the Project continued working from Baseline 6. The Project performed their regular progressive elaboration schedule update process during the last reporting period which finalized the May and June tasks and due dates. The PMO continues to work individually with each Project Track prior to the scheduled Project Monthly Commits meeting which increases the accuracy and understanding of work detail and resources committed for tasks, allowing for early detection of task and/or resource conflict.

| Assessment Category   | Findings and Observations   | Recommendations  | Status  |
|---|---|--|---|
| Identify whether the Project is on schedule. Identify if any schedule slippage exists, identify whether Project leadership has assessed severity of the slippage, and identify mitigating actions to address. | <p>Schedule Performance Index (SPI) measured 1.0 for the last reported schedule within the assessment period.</p> <p>The Department has not set the competitive range in accordance with the published schedule which impacts the ability to name negotiators and perform the remaining procurement activities.</p> | The Department should complete the task to establish the competitive range as soon as possible and assess the impact of the delay to the remaining negotiations activities and schedule. | <p>Current<br/></p> <p>Previous<br/></p> <p>Trend<br/></p> |

| Assessment Category   | Findings and Observations   | Recommendations         | Status   |
|---|---|-------------------------|--|
| Determine if Project milestones have been identified and documented and are maintained for any changes to the Project.          | Project milestones continue to be called out in the Project Master Schedule (PMS). No milestones were changed during the current assessment period. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>  |
| Determine if the process for comparing Project progress against planned (baselined) measures is appropriate and being followed. | The Project continues to review the PMS against baseline on a regular basis as evidenced by the weekly status reports.                              | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |

### 1.3 Cost Management








#### Key Metrics

| Item                         | Observed | Current Status  | Previous Status   | Notes   |
|------------------------------|----------|---|---|---|
| Cost Performance Index (CPI) | .99      |  |  | The Project is currently tracking CPI in the Project Master Schedule. |

#### Process Observation Summary









The Project continues to follow its cost management procedures as outlined in the PMP and is continuing to refine the level of detail needed. The Project Team continues to track both the schedule performance index (SPI) and cost performance index (CPI) using earned value management (EVM) techniques against the Project Master Schedule. Proxy values for Project cost are used.

We believe CPI is a helpful indicator for looking at resource efficiency from one month to the next with the understanding that there are some limitations due to the Project utilizing proxy values. Our assessment of CPI as an indicator is limited to noting big movements in CPI, which would necessitate closer inspection. This was not the case during this assessment period.

| Assessment Category   | Findings and Observations   | Recommendations         | Status  |
|---|---|-------------------------|---|
| Determine whether the Project budget appears sufficient to support the activities associated with the scope of the Project. | The Project budget for the year appears sufficient based on the spend plan and the list of two-party agreements.  | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>       |
| Determine the Project's compliance with the defined cost management techniques in the PMP Cost Management section.          | The Project Team followed the cost management process outlined in Section 9 of the PMP during this assessment period.   | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>      |
| Verify monitoring is being done on progress against Project costs and expended effort.                                      | Cost monitoring is being performed in accordance with the description of spend control in Section 9 of the PMP.   | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |
| Verify the right performance measures are being collected and managed for cost management.                                  | The Project spend plan currently identifies planned expenditures for the fiscal year by period along with incurred expenditures and paid actuals. In addition, the Project is tracking the cost variance monthly. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |




## 1.4 Risk Management










### Key Metrics

| Item                                 | Observed | Current Status  | Previous Status   | Notes  |
|--------------------------------------|----------|---|---|--|
| # of risks opened during this period | 0        |  |  | No risks were opened during this assessment period.  |
| # of risks closed during this period | 1        |  |  |  |
| # of overdue risks                   | 25       |  |  | The overdue risks all had due dates at the end of the fiscal year. The Project conducted an evaluation of project risks for the new fiscal year and is expected to complete the documentation update by July 31, 2017. |
| # of risks transitioned into issues  | 1        |  |  | One risk transitioned into an issue which was subsequently resolved during this assessment period.   |

### Process Observation Summary







The Project continues to follow its risk management procedures as outlined in the PMP. Risks on the Florida PALM Project continue to be tracked and managed using the Microsoft SharePoint portal following the risk management processes as outlined per the PMP. These risks are reviewed at regular Risk Log, Action Item Log, Issues Log, Decision Log, Lessons Learned Log (RAIDL) Meetings.

| Assessment Category  | Findings and Observations   | Recommendations         | Status  |
|--|---|-------------------------|---|
| Verify the Risk Management Plan is being followed and is effectively identifying, tracking, and managing Project risk in a standardized fashion. | Risk management meetings continue to be held at least weekly, and evidence of the identification, tracking, and managing of Project risk occurs in the Project Team's Risk Log. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |

| Assessment Category  | Findings and Observations  | Recommendations         | Status  |
|--|--|-------------------------|---|
| Determine whether the Executive Sponsorship understands effective risk management planning and execution and are reinforcing the importance of sound risk management in their communications with Project personnel. | Results of the risk reporting function of the Project Team are brought to the attention of the Executive Steering Committee and discussed as evidenced by meeting agendas and by direct observation. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>       |
| Determine whether Project Team members possess a command of the defined Risk Management Plan and process.  | Each of the work Tracks, including the PMO, followed the risk management process during this assessment period.  | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>      |
| Verify that risks have been prioritized for mitigation based on severity and likelihood.   | It was observed that the Project Team holds regularly scheduled RAIDL meetings to identify and address risks in accordance with Section 16 of the PMP.   | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |







## 1.5 Issue Management




### Key Metrics

| Item                               | Observed | Current Status  | Previous Status   | Notes  |
|------------------------------------|----------|---|---|--|
| New issues opened this period      | 1        |  |  | One new issue was opened and resolved during this assessment period. |
| Issues closed this period          | 1        |  |  |  |
| Open Issues past target completion | 0        |  |  | There were no open issues at the end of this assessment period.      |

### Process Observation Summary

The Project continues to follow its issue management procedures as outlined in the PMP. Issues on the Florida PALM Project continue to be tracked and managed using a Microsoft SharePoint portal. The Project actively manages Project risks and has had very few of these risks become issues. The Project issue database is used for issues that have an active impact on the Project scope, schedule, or execution. AST continues to attend the Project's regular project-wide RAIDL Meetings for observation and to provide feedback when solicited by the Project Team.

| Assessment Category  | Findings and Observations  | Recommendations         | Status  |
|--|--|-------------------------|---|
| Verify issues are collected and analyzed, and corrective actions have been defined to address them.  | Corrective actions for all issues are captured in the Issues Log. Issue review occurs on a regularly scheduled basis per the outlined process.       | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |
| Verify the existence and institutionalization of an appropriate Project issue tracking mechanism that documents issues as they arise, enables communication of issues to proper Stakeholders, documents a mitigation strategy as appropriate, and tracks the issue to closure. | The Project utilizes the Microsoft SharePoint Issue Management Log in accordance with the Issue Management Process defined in Section 18 of the PMP. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |




| Assessment Category   | Findings and Observations   | Recommendations         | Status  |
|---|---|-------------------------|---|
| Verify corrective action has been taken on identified issues. | Corrective actions, when needed, are taken on identified issues through the weekly and bi-weekly RAIDL process. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |

## 2. Project Governance

### 2.1 Governance

#### Process Observation Summary







During this assessment period, the ESC held their regularly scheduled meeting on June 20, 2017, where the Project discussed Florida PALM Project updates and members voted on and accepted the attachments of the Pre-DDI Data Management Plan (DMP). The next ESC meeting is scheduled for July 26, 2017.

| Assessment Category  | Findings and Observations   | Recommendations   | Status  |
|--|---|---|---|
| Assess executive Stakeholder buy-in, participation, support and commitment, and open pathways of communication exist among all Stakeholders. | During this assessment period, there were two scheduled meetings with the ESC and key legislative stakeholders. | The Project should consider continuing to hold meetings with ESC members and legislative stakeholders as appropriate to discuss specific items and maintain open Project communication. | Current<br><br>Previous<br><br>Trend<br> |



## 2.2 Decision Management







### Key Metrics







| Item                                      | Observed | Current Status  | Previous Status   | Notes  |
|---|----------|---|---|--|
| Number of new decisions added this period | 1        |  |  | One decision was opened during this assessment period.           |
| Number of decisions closed this period    | 1        |  |  | One decision was closed during this assessment period.           |
| Number of overdue open decisions          | 1        |  |  | One decision was overdue at the close of this assessment period. |

### Process Observation Summary

The processes associated with decision management are clearly defined in terms of required information, approvals, and escalations. The Decision Log is reviewed regularly and contains a sufficient level of detail appropriate for a Project of this size and complexity. An explanation and disposition is included for each closed decision in accordance with the PMP.

During this assessment period, one new decision was added. The Project made the expected progress on the two remaining open decisions during this assessment period.

| Assessment Category   | Findings and Observations  | Recommendations         | Status  |
|---|--|-------------------------|---|
| Verify Stakeholders have bought in to all changes which impact Project objectives, scope, cost, or schedule.  | No changes requiring ESC approval were noted during this assessment period.  | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |
| Verify that the Project Team and Project Sponsors have reviewed and approved any Project changes impacting general scope or direction to help ensure alignment with the Project objectives. | No changes impacting Project general scope or direction requiring ESC approval were noted during this assessment period. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |

| Assessment Category  | Findings and Observations   | Recommendations         | Status   |
|--|---|-------------------------|--|
| Determine whether the Project and Project Sponsors have reviewed and approved any deviations from the Project baseline budget prior to further action (e.g., contract modification). | No changes related to the baseline Project budget requiring ESC approval were noted during this assessment period.      | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>  |
| Determine whether the baseline (and any re-baselines) to the Project Master Schedule was vetted through and received approval from Project Sponsors.                                 | During this assessment period, minor schedule changes were made as part of the planned monthly progressive elaboration. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |













### 3 Project Execution – Additional Criteria

#### 3.1 Performance Management

##### Process Observation Summary





The Project Team has defined 14 individual project performance measures to provide an indication of status within the eight project management areas: cost management, governance management, issue management, quality management, resource management, risk management, schedule management, and scope management.

These metrics are defined in section 8 (Performance Management) of the PMP, which was accepted in March with training provided to the Project Team in April, 2017. Metrics for each of the performance measures were captured by the Project for June and reviewed by Project Management per the process outlined within the PMP.

| Assessment Category  | Findings and Observations  | Recommendations         | Status  |
|--|--|-------------------------|---|
| Evaluate implementation of the performance management activities and metrics to determine if processes are effective and being followed.   | The Project has formalized a process for tracking, measuring, and reporting key performance metrics.   | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>       |
| Evaluate if appropriate mechanisms are in place and in practice for Project self-evaluation and process improvement.   | Lessons learned are captured after each task and tracked in SharePoint in the Lessons Learned Log. These are added and reviewed as part of regular weekly Track Team meetings. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>      |
| Verify selected measurements are being obtained and analyzed to manage the Project and support organization needs.   | Key Project metrics are being measured and reported individually.  | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |
| Verify the Project's performance is periodically reviewed and aligned with current and anticipated needs, objectives, and requirements of the organization, customers, and end users as appropriate. | Project performance is reviewed during the regular internal status meetings. Additionally, Project performance is reported externally on a monthly basis.                      | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |

### 3.2 Staffing Management




#### Key Metrics













| Item                                   | Observed          | Current Status  | Previous Status   | Notes  |
|--|-------------------|---|---|--|
| Percent of scheduled positions filled. | 97%<br>(29 of 30) |  |  | The SDS Track is working to backfill the Integration Architect vacancy and the SDS Team members continue to manage the workload. |
| Turnover                               | 3%<br>(1 of 31)   |  |  | One contractor Project Team member left the PMO Track. The remaining team members on the PMO Track are managing the workload.    |










#### Process Observation Summary

The PMO manages a formal staffing and onboarding process for State staff and the contract managers manage the process for their assigned contractors. Onboarding procedures and support materials have been developed and are shared with new members of the team to provide a clear and consistent background on the Project and operating guidelines moving forward.

During this assessment period, the SDS Track and the Project continued to work to backfill the Integration Architect contractor position. One internal deliverable has been put on hold until the position is filled, but this does not currently impact the Project's critical path. One project management contractor left the Project during this period, and the remaining team members are managing the workload.





| Assessment Category   | Findings and Observations   | Recommendations         | Status  |
|---|---|-------------------------|---|
| Verify Project roles and associated responsibilities are clearly defined, documented, and communicated and verify that each Project Team member is mapped to a distinct Project role. | The current Project Charter establishes clear lines of reporting for the Project Team. Under the current organization structure, oversight defaults to the Executive Sponsor. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |

| Assessment Category  | Findings and Observations  | Recommendations         | Status  |
|--|--|-------------------------|---|
| Determine whether the design and structure of the Project Management Team is sufficient to support the Project given the Project's size, scope, and complexity.  | The structure and staffing of the PMO Team continues to be appropriate for the Pre-DDI effort.   | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>       |
| Determine whether the design of the organizational structure reflects clear lines of reporting, responsibility, and accountability.  | The Project's organization structure is clear and the team members understand their reporting relationships and how they support each other. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>      |
| Determine whether the Project operates in accordance with the organizational structure, wherein the defined/documented lines of reporting, responsibility and accountability are practiced/adhered to by Project Team members. | The Project Team continues to follow the Project structure with each Track Lead managing their team.   | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |
| Determine whether Project resources appear appropriately qualified to perform their assigned duties (based on the defined Project roles/responsibilities).   | The current Project resources appear to have the background experience and knowledge required for their positions.                           | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |

| Assessment Category   | Findings and Observations  | Recommendations         | Status  |
|---|--|-------------------------|---|
| Determine the Project's compliance with the resource management techniques defined in the PMP.  | The Project Team follows the staffing planning and onboarding processes outlined within the PMP.   | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>       |
| Evaluate the stability of the Project Team and cross sharing of knowledge to buffer for vacations and possible turnover.  | The Project Team actively manages staffing and cross-sharing of information within each work Track where possible.   | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>      |
| Determine if adequate time and resources are assigned to each project phase to gauge whether the Project is sufficiently "resourced" (time, money, and staffing) for future effort. | During this assessment period, the Project continues to work to backfill the SDS Integration Architect position. The overall Project resources continue to appear adequate for the planned workload. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |










### 3.3 Action Item Management

#### Key Metrics

| Item                          | Observed | Current Status  | Previous Status   | Notes  |
|-------------------------------|----------|---|---|--|
| Action items past due         | 0        |  |  | There are no overdue action items as of June 30 <sup>th</sup> , and the Project is making progress on the five open items including the three added during this assessment period. |
| Average age of past due items | 0        |  |  |  |

### Process Observation Summary







The Project has a strong discipline around identifying, assigning, tracking, and following up on action items. Each open action item is reviewed at each Project Track's regular (weekly or bi-weekly) RAIDL Meeting. The Project Team reviews the open action items bi-weekly to validate their status.

| Assessment Category   | Findings and Observations   | Recommendations         | Status  |
|---|---|-------------------------|---|
| Verify Action Items are managed in a consistent manner across the Project and are at an appropriate level of detail.  | Action items recorded during this assessment period are consistent in level of detail.  | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>       |
| Verify open Action Items are regularly reviewed to ensure they are being managed, and if there is a change or larger impact, they are transferred to the Project Master Schedule where appropriate. | The Project Team reviews Action Items at least bi-weekly at regularly scheduled RAIDL Meetings.   | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>  |
| Verify metrics are in place and reviewed on a regular basis regarding the status and closure of Action Items.   | The Project Team tracks open Action Items and reviews them on a regular basis in the RAIDL Meetings and the weekly RADAR report. Currently the weekly RADAR report tracks simple metrics such as action items past due. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |

## 4 Procurement and Contracts







### 4.1 Procurement and Vendor Management

#### Key Metrics













| Item                           | Observed | Current Status  | Previous Status   | Notes   |
|--------------------------------|----------|---|---|---|
| % Contracts on Schedule        | 100%     |  |  | Current contracts are on schedule for deliverable or hourly service delivery. |
| % Contracts on Budget          | 100%     |  |  | Current contracts are within planned budget.                                  |
| Contract Execution on Schedule | Yes      |  |  | Current planned procurements are on schedule.                                 |













#### Process Observation Summary

Procurements and Vendor contracts are managed through the PMO. The Project has two designated procurement professionals who manage the procurements from the initial strategy, to contract execution and through contract closeout. There have been no significant changes to the procurement processes during this assessment period.

| Assessment Category  | Findings and Observations  | Recommendations         | Status   |
|--|--|-------------------------|--|
| Determine if procurement has explicitly mapped the procurement objectives and strategy to the Project objectives, and if such mapping accurately reflects the procurement strategy being followed. | The PMO manages procurements following the process outlined in the PMP and executes each work Track's procurement strategy as outlined.  | No new recommendations. | Current <br>Previous <br>Trend  |
| Determine if solicitation documentation clearly articulates measurable project objectives, and clearly identifies what (if any), project objectives are the responsibility of the contractor.      | Solicitation documentation reviewed for a selection of procurements from the Florida PALM Procurement Log, including the System and Integrator Solicitation, contain clear descriptions of contractor responsibilities and Project objectives. | No new recommendations. | Current <br>Previous <br>Trend  |



| Assessment Category  | Findings and Observations   | Recommendations         | Status  |
|--|---|-------------------------|---|
| Determine if the solicitation documentation provides adequate detail on the Project functional requirements, and if the solicitation process offers bidders, an adequate opportunity for dialogue on functional requirements so that questions, ambiguities, and misunderstandings can be rectified prior to contract award.   | Project solicitation documentation produced by the Project has had clear functional requirements, and a Q&A and clarification process has been observed with each procurement performed by the Project.   | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>       |
| Determine whether key Project risks have been shared with potential Contractors. Determine whether significant drivers of risk (e.g., organization and business process change, limitations in number and skills of agency resources, number, and complexity of legacy systems to be replaced, etc.) to the potential contractors have been explained in such a way that bidders can address and mitigate them in their bid. | The Software and System Integrator (SSI) Invitation to Negotiate (ITN) solicitation includes a section which outlines the current environment and requests requirements from the respondents. Additional clarification will be obtained through the Negotiation process prior to award of a contract. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>      |
| Determine whether documented evaluation processes exist and are being consistently followed.   | The Project has developed an evaluation strategy for the Software and System Integrator (SSI) Evaluation to support and guide the evaluation process.   | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |
| Determine whether the Evaluation Team has received training and ongoing guidance necessary for them to evaluate bids in an effective and consistent manner.  | The Project conducted training for the ITN Evaluators during the January 2017 assessment period. There was no other active procurement during the current assessment period.  | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |










| Assessment Category   | Findings and Observations  | Recommendations         | Status  |
|---|--|-------------------------|---|
| Determine if matters that pose a risk to Project scope are promptly escalated to the appropriate parties, including Contracts.                              | The Project contract managers regularly review Project risks and the performance of current and planned contracts. No procurement related issues were raised this assessment period. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>       |
| Determine if invoice review processes are established.  | The Project is following the DFS Contract Lifecycle Guide and the guidelines published by the DFS Division of Accounting & Auditing for invoice review.                              | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>      |
| Determine if the defined quality assurance and deliverable approval processes are being followed in the review and acceptance of all contract deliverables. | Deliverable review and approval processes were followed during this assessment period for contract deliverables.   | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |
| Evaluate Contractor Change Request and defect tracking processes.   | There were no change requests during this assessment period from contractors or contractor work which resulted in a Project Change Request.  | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |










## 5. Quality Management

### 5.1 Quality Management

#### Process Observation Summary

A high-level approach to quality management is outlined within the PMP. The Project Team has finalized the QMS implementation strategy and is executing the QMS Implementation Plan.







| Assessment Category  | Findings and Observations   | Recommendations         | Status  |
|--|---|-------------------------|---|
| Verify Project self-evaluations are performed and that measures are continually taken to improve the process.                                  | Project self-evaluation was not scheduled to be performed during this assessment period.  | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>      |
| Verify QA has an appropriate level of independence.  | The QA/Risk Manager monitors Quality Assurance activities.  | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |
| Verify all process definitions and standards are complete, clear, up-to date, consistent in format, and easily available to Project personnel. | The QMS Implementation Strategy has been finalized. Until the QMS is released, the Project Team follows the high-level process definitions and standards outlined within the PMP. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |

| Assessment Category   | Findings and Observations   | Recommendations         | Status  |
|---|---|-------------------------|---|
| Assess whether the roles and responsibilities are clearly defined and responsible personnel are identified related to quality assurance and deliverable approval processes. | Deliverable review responsibilities are clearly defined and communicated to appropriate Project Team members and reflected in the appropriate DED documents.                          | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>       |
| Verify the Project Quality Manager monitors the fidelity of defined QA processes in all phases of the Project.  | The Deliverable Management Standards and Procedures have been developed and accepted. As part of the QMS, it addresses the full deliverable lifecycle development and review process. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>      |
| Verify quality of products, produced by the Project, are monitored by formal reviews and sign-offs where appropriate.   | Project deliverables are reviewed and formally signed off.  | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |

## 5.2 Deliverable Management

### Process Observation Summary

The Project follows a structured deliverable review process. Key elements of that process include that for each deliverable, development and review steps are included in the Project Master Schedule (PMS) and a deliverable expectation document (DED) is created which defines the scope, content, and review process for the deliverable. A review committee of Project Team staff and Project Sponsors is identified and assigned to each deliverable. Upon completion of the review and edit process the committee makes a recommendation for approval to the Project Director, who accepts each deliverable.




| Assessment Category  | Findings and Observations   | Recommendations         | Status   |
|--|---|-------------------------|--|
| Ensure there is a clear definition of Project deliverables and that deliverables are managed in a consistent manner. | The deliverable process outlined in section 20 of the PMP is being followed for all deliverables. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br>  |
| Verify defined deliverable review process is consistently administered across the Project.                           | The deliverable process outlined in the PMP is being followed for all deliverables.               | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |




### 5.3 Lessons Learned Management

#### Process Observation Summary

*Lessons Learned* are defined in the PMP as any useful information or experience gained through the course of the Project that can be applied to a later phase or Project activity.

The Project has a sound discipline around identifying and documenting findings. Significant lessons learned are entered in the Project SharePoint portal. New or active lessons learned are reviewed at each Project Track's regular RAIDL Meeting. At the bi-weekly PMO RAIDL Meeting, any new lessons learned items are reviewed.

| Assessment Category   | Findings and Observations   | Recommendations         | Status  |
|---|---|-------------------------|---|
| Verify lessons learned are managed in a consistent manner across the Project and are at an appropriate level of detail. | Lessons learned are documented in SharePoint by the work Tracks as they are identified and discussed at the regular RAIDL Meetings. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |

| Assessment Category  | Findings and Observations                                    | Recommendations         | Status  |
|--|--|-------------------------|---|
| Verify Project or phase closeout reports are created to provide a concise evaluation of the Project. The closeout report should summarize at a high level, the Project objectives, variances, or deviations from the plan/baseline encountered, lessons learned, and next steps. | No Project phases were closed during this assessment period. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |




## 6. Communications and Outreach




### 6.1 Communications Management

#### Process Observation Summary

The Project Team maintains a Communications Plan to guide the development, execution and monitoring of Project communication. The Plan defines the communication infrastructure, identifies stakeholder groups, and outlines the various types of communication routinely utilized by the Project Team.

The OCM Team maintains a Project Communications Log which serves as a record of all Project communication and meetings conducted with entities outside of the Florida PALM Project Team. Project communications are initially captured within individual Project Track status reports and later transferred by the OCM Team into the Project Communications Log.




| Assessment Category  | Findings and Observations  | Recommendations         | Status  |
|--|--|-------------------------|---|
| Determine whether the Project Communications Plan identifies the correct audiences, contains approaches and strategies to maximize receipt of the targeted messages, and contains effective feedback mechanisms. | Feedback and monitoring processes were observed for executive steering committee meetings, individual meetings with executive steering committee members, and with legislative stakeholders. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |













| Assessment Category  | Findings and Observations   | Recommendations         | Status  |
|--|---|-------------------------|---|
| Determine if the Plan is being successfully executed, observing the Plans <i>in action</i> . | The current Project Communications Plan outlines key communication and outreach activities. During the current assessment period, Project documents were developed consistent with the Communications Plan and were available for review by the IV&V Team. The OCM Team also maintains a Communications Log that substantiates Project communication consistent with the Project Communications Plan. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |

## 6.2 Stakeholder Management

### Process Observation Summary

The Project proactively manages outreach to department and agency stakeholders through various formats, including: website updates, directed communications about the Project, and planning and execution of workshop and workgroup meetings. The Project Communications Management Plan includes a full stakeholder analysis. The OCM Team tracks participation and monitors engagement in these sessions to identify and proactively engage agencies that may require additional support. Formal outreach to external parties is being managed, as needed.

| Assessment Category  | Findings and Observations                                  | Recommendations         | Status  |
|--|--|-------------------------|---|
| Determine if a stakeholder assessment has been performed for the Project and assess the completeness and appropriateness of the targeted audiences and messages. | The Communications Plan includes a stakeholder assessment. | No new recommendations. | Current<br><br>Previous<br><br>Trend<br> |

| Assessment Category  | Findings and Observations   | Recommendations                | Status   |
|--|---|--------------------------------|--|
| <p>Determine whether the Change Management Plan contains approaches and strategies to maximize adoption, assess and manage resistance, assess, and increase awareness, and effect a successful Project implementation.</p>   | <p>The current Communications Plan addresses outreach to stakeholders to maximize their involvement.</p>  | <p>No new recommendations.</p> | <p>Current<br/> <br/>           Previous<br/> <br/>           Trend<br/> </p>       |
| <p>Verify that outreach efforts exist to ensure that all Stakeholders are aware of the impending change and know their role in the change process. Verify that communication provides appropriate channels for feedback.</p> | <p>The Project Team performs proactive communication to stakeholders involved and uses feedback mechanisms such as surveys and email to gauge the effectiveness of Project messages.</p>  | <p>No new recommendations.</p> | <p>Current<br/> <br/>           Previous<br/> <br/>           Trend<br/> </p>      |
| <p>Review the Plan to determine how the team plans to measure the key components of change (e.g., awareness, buy-in, etc.).</p>  | <p>The Project Team has outlined and is following a process for capturing relevant communications to and from stakeholders within a Project-wide Communications Log. Measurement of awareness and buy-in is not fully operationalized, but the Project Team does track key elements of change during events where the Project interacts with a convened group of stakeholders (e.g., the requirements workshops).</p> | <p>No new recommendations.</p> | <p>Current<br/> <br/>           Previous<br/> <br/>           Trend<br/> </p> |
| <p>Determine whether the Project proactively identifies areas at risk for resistance and effects strategies to manage the potential resistance.</p>  | <p>The Project Team continues to document risk and issue information on SharePoint and communicate with the parties involved.</p>   | <p>No new recommendations.</p> | <p>Current<br/> <br/>           Previous<br/> <br/>           Trend<br/> </p> |












## 6.3 Content Management

### Key Metrics

#### Process Observation Summary







The Project extensively uses a Microsoft SharePoint collaboration site for the tracking and managing of working documents and deliverables. Version control is enabled in SharePoint, providing the ability to track document revisions as necessary. The Project Team consistently uses SharePoint collaboration for the internal development of Project deliverables and other Project documents.

| Assessment Category  | Findings and Observations  | Recommendations   | Status  |
|--|--|---|---|
| Verify the Project has a clear strategy for maintaining and managing Project documents.                  | The Project has a strategy which guides the management of Project documents including work products, deliverables, and KPIs.   | The Project should consider actively monitoring its contractors to ensure they are using the Project tools, including SharePoint for all work products. | Current<br><br>Previous<br><br>Trend<br>      |
| Assess the level of detail and adherence to document and deliverable management policies and procedures. | The Project has drafted Content Management standards and procedures and is in the process of reviewing them. The Project is following the currently approved Content Release and Deliverable Management processes. | No new recommendations.   | Current<br><br>Previous<br><br>Trend<br> |
| Assess the consistency and ease of finding and accessing Project documentation.                          | The Project SharePoint repository has a consistent structure for common elements across the Project Tracks.  | No new recommendations.   | Current<br><br>Previous<br><br>Trend<br> |

## 6.4 Collaboration Management

### Process Observation Summary

The Florida PALM Project has identified external partners with whom they will require collaboration to be successful. Outreach to several of these entities has occurred either through individual Project activities, or through execution of the Communications Plan. The Project's collaboration strategy addresses planned engagement with key external stakeholders including State departments, agencies, the Florida Financial Management Information System (FFMIS) entities, other public sector Enterprise Resource Planning (ERP) Implementations, and external interest groups. As part of the Collaboration Strategy, the Project has contacted other states and large public entities for conversations and site visits to obtain first-hand information on some of the main ERP packages available in the market. The Project Team has established and maintains communication and collaboration with the entities visited.

| Assessment Category  | Findings and Observations   | Recommendations   | Status  |
|--|---|---|---|
| Determine whether the Collaboration Management Strategy and Plan contains approaches and strategies to engage with the right external entities to support the goals of the Project in a productive manner. | The Project Team's overall collaboration strategy defines approaches to collaboration with both external State of Florida agency entities, as well as entities other than State of Florida agencies. The Project Team further defines an engagement approach for engaging the statutorily defined functional owners for each of the FFMIS subsystems. | The Project should consider including PMO representation in its discussions and visits with other entities who have implemented ERP to obtain a project management perspective from the collaboration partners. | Current<br><br>Previous<br><br>Trend<br>    |
| Verify metrics for assessing collaboration partner engagement are appropriate and tracked.   | As part of its collaboration strategy rollout, the Project Team established partner collaboration metrics such as participation and level of engagement and is evaluating them on a quarterly basis.  | No new recommendations.   | Current<br><br>Previous<br><br>Trend<br> |

# Appendix 1. Project Element Value Assessment and Mapping

## Value Map Approach

Grant Thornton evaluated the elements of project management outlined within the Florida PALM PMP, along with additional critical elements included from our methodology. Because risks and issues in some project categories will have a larger impact on the overall Project, we prioritized the project elements based on their relative impact to the Project. We evaluated each project management process against the following three categories to determine an overall priority for the Pre-DDI phase of the Florida PALM Project. These priorities are likely to change to some degree once the SSI vendor is chosen. Each project management process was weighted either *high*, *medium*, or *low* for each of the following categories, with the average providing the overall prioritization.

- **Correlation to Outcomes** – how directly related is the project area to desired outcomes of the Project. (i.e., scope management has a high correlation; if desired functionality is removed from scope, it will have a significant impact). Note that this category is weighted twice as strongly in the overall prioritization.
- **Visibility** – how much external attention is this project management area likely to attract.
- **External Impact** – is this an area that has direct impact on external stakeholders, or where external stakeholders will have a direct impact on the execution of the Project.

## Process Values

The following table displays the project management processes, their relative score in each of the value prioritization categories, and the overall priority value. Going forward, these priority values will be used to weight and prioritize the recommendations identified in the body of this report.

| Project Management Process               | Value Prioritization Category |            |                 |                        |
|--|-------------------------------|------------|-----------------|------------------------|
|  | Correlation to Outcome        | Visibility | External Impact | Overall Prioritization |
| 1. Critical Criteria                     |                               |            |                 |                        |
| 1.1. Scope Management and Change Control | HIGH                          | HIGH       | HIGH            | HIGH                   |
| 1.2. Schedule Management                 | HIGH                          | HIGH       | MEDIUM          | MEDIUM                 |
| 1.3. Cost Management                     | HIGH                          | HIGH       | MEDIUM          | HIGH                   |
| 1.4. Risk Management                     | HIGH                          | MEDIUM     | HIGH            | HIGH                   |
| 1.5. Issue Management                    | HIGH                          | MEDIUM     | LOW             | MEDIUM                 |
| 2. Governance                            |                               |            |                 |                        |
| 2.1. Project Governance                  | HIGH                          | MEDIUM     | MEDIUM          | HIGH                   |
| 2.2. Decision Management                 | HIGH                          | HIGH       | HIGH            | HIGH                   |
| 3. Project Execution                     |                               |            |                 |                        |
| 3.1. Performance Management              | HIGH                          | MEDIUM     | LOW             | MEDIUM                 |
| 3.2. Staffing Management                 | MEDIUM                        | MEDIUM     | LOW             | MEDIUM                 |
| 3.3. Action Item Management              | MEDIUM                        | MEDIUM     | LOW             | MEDIUM                 |
| 4. Procurement and Contracts             |                               |            |                 |                        |
| 4.1. Procurement and Vendor Management   | MEDIUM                        | MEDIUM     | HIGH            | MEDIUM                 |
| 5. Quality Management                    |                               |            |                 |                        |
| 5.1. Quality Management                  | MEDIUM                        | HIGH       | LOW             | MEDIUM                 |
| 5.2. Deliverable Management              | HIGH                          | MEDIUM     | LOW             | MEDIUM                 |
| 5.3. Lessons Learned Management          | LOW                           | LOW        | LOW             | LOW                    |
| 6. Communications and Outreach           |                               |            |                 |                        |
| 6.1. Communications Management           | LOW                           | HIGH       | HIGH            | HIGH                   |
| 6.2. Stakeholder Management              | HIGH                          | HIGH       | HIGH            | HIGH                   |
| 6.3. Content Management                  | LOW                           | LOW        | LOW             | LOW                    |
| 6.4. Collaboration Management            | MEDIUM                        | LOW        | LOW             | MEDIUM                 |

# Appendix 2. Interviews and Meetings Summary

The following meetings and interviews were conducted with entities outside of the Florida PALM Team during the assessment period covered by this report.

| Meeting Type       | Meeting Summary                   | Agencies Represented   |
|--------------------|-----------------------------------|------------------------|
| Planning / Working | Project status bi-weekly reviews  | AST                    |
| Planning / Working | Discuss DDI readiness preparation | DFS OIT, A&A, Treasury |

# Appendix 3. Project Documentation Reviewed

The table below lists some of the Project documents reviewed by the IV&V Team during the assessment period covered by this report.

| Category of Documents Reviewed                           | Documents Reviewed   |
|--|--|
| PMO and Project reporting related documents              | <ul style="list-style-type: none"> <li>• IV&amp;V Continuous Improvement Plan, 12/14/2016</li> <li>• Project Master Schedule, 06/30/2017</li> <li>• Monthly Project Status Reports, 06/30/2017</li> <li>• Project Status Reports, 06/30/2017</li> <li>• RADAR Report, 06/30/2017</li> <li>• RAIDL: Action Item Log, 06/30/2017; RAIDL: Decision Log, 06/30/2017; RAIDL: Issues Log, 06/30/2017; RAIDL: Lessons Learned Log, 06/30/2017; RAIDL: Project Change Request Log, 06/30/2017; RAIDL: Risk Log, 06/30/2017</li> <li>• Spend Plan, 07/08/17</li> <li>• Track Project Status Reports, 06/30/2017</li> <li>• Procurement Log, 06/30/2017</li> </ul> |
| Organizational Change Management (OCM) related documents | <ul style="list-style-type: none"> <li>• Florida PALM Pre-DDI Website (intranet), 05/31/2017</li> <li>• Florida PALM Website (public-facing), 06/30/2017</li> <li>• Communications Log, 06/30/2017</li> <li>• I-OCM18 Project Team Guidelines</li> <li>• Change Champion Strategy</li> </ul>   |
| Systems and Data Strategy (SDS) related documents        | <ul style="list-style-type: none"> <li>• Integration Point Gap Analysis Cycle 2 (I-SDS5)</li> </ul>  |

The table below lists some of the Project documents reviewed by the IV&V Team during prior assessment periods and used to prepare for this report.

| Category of Documents Reviewed                           | Documents Reviewed   |
|--|--|
| PMO and Project reporting related documents              | <ul style="list-style-type: none"> <li>• Florida PALM Project Charter, 11/20/15</li> <li>• Florida PALM Project Management Plan, 02/19/16</li> <li>• Organization Charts, 02/02/16</li> <li>• QMS Implementation Plan, 02/22/16</li> <li>• Performance Management Training</li> <li>• Content Management Section of the PMP</li> <li>• Decision Management Section of the PMP</li> </ul>   |
| Executive Steering Committee (ESC) related documents     | <ul style="list-style-type: none"> <li>• Presentation Documents, 06/20/2017</li> <li>• Governance Charter, 02/19/16</li> </ul>   |
| Business Process Standardization (BPS) related documents | <ul style="list-style-type: none"> <li>• BPS Business Requirements Cycle 2, 03/07/16</li> <li>• BPS Level 2 Standardized Business Process Models - Version 1.0, 12/02/15</li> <li>• BPS Business Requirements Cycle 1, 10/13/15</li> <li>• BPS Policy Issue Tracking Log, 11/30/16</li> <li>• BPS Strategic Plan Section 3, 08/06/15</li> <li>• BPS Standard Business Process L1 Flows and Standard Business Process Model, 07/09/15</li> </ul>  |
| Organizational Change Management (OCM) related documents | <ul style="list-style-type: none"> <li>• Q4 Florida PALM Style Guide, 05/05/2017</li> <li>• Q4 Frequently Asked Questions, 05/12/2017</li> <li>• Q4 Project Team Guidelines, 05/19/2017</li> <li>• Florida PALM Project Style Guide, 01/24/2017</li> <li>• Project Collaboration Strategy FY16 Q4 Update, 09/09/16</li> <li>• Strategic Plan for Pre-SSI OCM Activities (OCM2), 12/09/16</li> <li>• Florida PALM Pre-DDI Website (intranet), 11/30/16</li> <li>• Florida PALM Website (public-facing), 11/30/16</li> <li>• Communications Log, 11/30/16</li> <li>• Style Guide, 03/22/16</li> <li>• Project Collaboration Strategy FY 2015-2016, 03/17/16</li> <li>• Project Collaboration Strategy FY16 Q3 Update, 05/20/16</li> <li>• Communications Plan, 02/19/16</li> <li>• New Employee Orientation Materials, 07/17/15</li> <li>• OCM Pre-DDI Track Strategy, 06/15/15</li> <li>• FFMIS Engagement Strategy, 06/13/15</li> <li>• Florida PALM Illustrative Story</li> </ul> |
| Systems and Data Strategy (SDS) related documents        | <ul style="list-style-type: none"> <li>• SDS2: Pre-DDI Enterprise Architecture Strategy</li> <li>• I-SDS2: Florida PALM Pre-DDI Data Management Plan</li> </ul>  |
| Procurement related documents                            | <ul style="list-style-type: none"> <li>• SSI Procurement Solicitation Preparation (PROC6) evaluation documents: <ul style="list-style-type: none"> <li>○ Evaluator Scoresheet and Instruction Review</li> <li>○ Review of Evaluation Scoring Reports</li> <li>○ Evaluator Training Materials and Evaluator Training</li> </ul> </li> <li>• Demonstration Agenda and Scripts</li> <li>• SSI ITN document and Attachments Addenda, 12/09/16</li> </ul>   |

| Category of Documents Reviewed         | Documents Reviewed  |
|--|---|
|  | <ul style="list-style-type: none"> <li>• PROC4 SSI Evaluation Strategy, 12/22/16</li> <li>• SSI ITN document and Attachments 11/1/16</li> </ul>   |
| Agency Business Case related documents | <ul style="list-style-type: none"> <li>• Business Case for Maintaining Agency Business Systems 10/31/16</li> <li>• Attachment 2 of Business Case for Maintaining Agency Business Systems, 10/31/16</li> </ul>   |
| FLAIR Study                            | <ul style="list-style-type: none"> <li>• FLAIR Study Report, 4/9/2014</li> <li>• FLAIR Study Cost Model, 4/9/2014</li> <li>• Agency Business System Inventory Analysis, 4/8/2014</li> <li>• Inventory of Agency Systems Interfacing with FLAIR, 4/9/2014</li> </ul> |