

Project Team:	State staff; Accenture; DFS Accounting and Auditing, Treasury, OIT
Period:	December 1, 2022 – December 31, 2022
Submission Date:	January 09, 2023

1 – CMS REMEDIATION REPORT

A. Purpose

Pursuant to Proviso contained in the General Appropriations Act for the 2022-2023 fiscal year, the Department of Financial Services (DFS) is submitting the CMS Remediation Report for the replacement of the Florida Accounting Information Resource (FLAIR) system and Cash Management System (CMS) known as the Florida Planning, Accounting, and Ledger Management (PALM) Project. This report is intended to provide meaningful information related to the efforts completed by the Florida PALM Project and the divisions of Accounting and Auditing, Treasury, and the Office of Information Technology (DFS enterprise divisions), during December 2022 related to CMS remediation and normal business operations. This report also includes information about Deal Management, which is transitioning to a new investment accounting solution.

B. Summary

No new CMS remediation tickets were created during the month of December 2022. The final CMS remediation tickets were resolved in September and closed in October 2022.

No new Deal Management tickets were created. One Deal Management incident ticket was resolved. No Deal Management Tickets remain open.

While required CMS remediation reporting period officially began July 1, 2022, the Project has reported activities from May 1, 2022 to provide a clear picture of the efforts taken to complete remediation efforts. The following is a summary of the tickets for CMS remediation and for Deal Management for the entire reporting period of May 1, 2022 through December 31, 2022:

1. 49 CMS remediation tickets (all resolved):
 - 27 incidents
 - 22 Service Requests
2. 20 CMS remediation system enhancements (all implemented):
 - 13 Amendment 7 Enhancements (the remaining A-7 items were resolved prior to the start of the reporting period)
 - 7 Additional Enhancements
3. 20 Deal Management tickets:
 - 1 Enhancement (implemented)
 - 16 Incident Tickets (resolved)
 - 3 Service Requests (resolved)

C. System Remediation

The following provides a breakout of the deal management related tickets that were opened and closed during this reporting period.

Deal Management Remediation Tickets Opened:

- *Incidents (defects)* – 0 Deal Management tickets were opened.
- *Service Requests* (e.g., how to, maintenance) – 0 Deal Management service requests were opened.
- *Enhancements* – 0 Deal Management enhancements were opened.

Deal Management Remediation Tickets Closed:

- *Incidents (defects)* – 1 Deal Management ticket was closed.
- *Service Requests* (e.g., how to, maintenance) – 0 Deal Management service requests were closed.
- *Enhancements* – 0 Deal Management enhancements were implemented.

D. Production Operations

DFS divisions of Accounting and Auditing and Treasury are completing tasks within Florida PALM as part of ongoing normal business operations. The monthly reporting period of November 2022 was closed on 12/22/2022 in Florida PALM.

The Project Readiness Coordinators continued to follow-up with agencies who contacted the Florida PALM Solution Center to confirm their end user questions have been answered.

E. Deal Management

Treasury has procured a “best of breed” investment accounting solution that will support investing into current as well as more complex securities types that will provide higher rates of return. The contract was executed during September 2022 and work continued during this reporting period.

Deal Management processes will continue to be monitored and maintained in Florida PALM until Treasury transitions to the new Investment Accounting solution. Summary investment data will be recorded in the Florida PALM General Ledger to support financial reporting requirements after the transition.

F. Additional Resources

There were no additional support materials needed for the month of December.

2 – ATTACHMENTS

- CMS Remediation Report Attachment 1: Service Requests
- CMS Remediation Report Attachment 2: Incidents
- CMS Remediation Report Attachment 3: Enhancements
- CMS Remediation Report Attachment 4: Deliverables
- CMS Remediation Report Attachment 5: Deal Management

Created	Number	Short description	Description	Resolution	Priority	Agreed Resolution Time	Resolved	Closed	Agency	Ticket Type	Hours	Cost
Opened Tickets												
No CMS remediation service request tickets were opened this reporting period												
Closed Tickets												
No CMS remediation service request tickets were closed this reporting period												

Created	Number	Short description	Description	Resolution	Priority	Agreed Resolution Time	Resolved	Closed	Agency	Ticket Type	Hours	Cost
Opened Tickets												
	No CMS remediation incident tickets were opened this reporting period											
Closed Tickets												
	No CMS remediation incident tickets were closed this reporting period											

Created	Number	Short description	Description	Resolution	Priority	Agreed Resolution Time	Resolved	Closed	Agency	Ticket Type	Hours	Cost
Opened Tickets												
	No CMS remediation enhancement tickets were opened this reporting period											
Implemented Tickets												
	No CMS remediation enhancement tickets were implemented this reporting period											

Deliverable ID	Name	Description	Deliverable Acceptance Criteria	Deliverable Amount	Estimated Payment Month
D617	CMS Hypercare and Enhancement Services (June 2022)	This deliverable will document the hypercare services as documented in Amendment 7, beyond those provided in Project Support Services, provided by the Contractor. Services may include assistance with: system configuration and troubleshooting, changes to operational processes, creation of user support materials, creation of project management action lists, redesign of NIC processes, input to month and year end close processes, creation of production queries, and other activities that are mutually agreed to between the parties.	Completion of agreed upon CMS remediation Incident Tickets and enhancements as defined in Amendment 7	\$1,505,265	August 2022 (Paid August 10, 2022)
D618	CMS Enhancement Services (July 2022)	This deliverable will document the enhancement services as documented in Amendment 7 provided by the Contractor. Services include deployment of forms and related workflows.	Completion of agreed upon enhancements as defined in Amendment 7	\$150,000	August 2022 (Paid August 29, 2022)
D619	CMS Hypercare Optional Services (July 2022)	This deliverable will document the hypercare services as documented in Amendment 7, beyond those provided in Project Support Services, provided by the Contractor. Services may include assistance with: system configuration and troubleshooting, changes to operational processes, creation of user support materials, creation of project management action lists, redesign of NIC processes, input to month and year end close processes, creation of production queries, and other activities that are mutually agreed to between the parties.	Monthly report that summarizes the activities completed	\$250,000	August 2022 (Paid August 26, 2022)
D621	CMS Hypercare Optional Services (August 2022)	This deliverable will document the hypercare services as documented in Amendment 7, beyond those provided in Project Support Services, provided by the Contractor. Services may include assistance with: system configuration and troubleshooting, changes to operational processes, creation of user support materials, creation of project management action lists, redesign of NIC processes, input to month and year end close processes, creation of production queries, and other activities that are mutually agreed to between the parties.	Monthly report that summarizes the activities completed	\$250,000	September 2022 (Paid September 26, 2022)
D627	CMS Hypercare Optional Services (September 2022)	This deliverable will document the hypercare services as documented in Amendment 7, beyond those provided in Project Support Services, provided by the Contractor. Services may include assistance with: system configuration and troubleshooting, changes to operational processes, creation of user support materials, creation of project management action lists, redesign of NIC processes, input to month and year end close processes, creation of production queries, and other activities that are mutually agreed to between the parties.	Monthly report that summarizes the activities completed	\$250,000	October 2022 (Paid October 14, 2022)

Created	Number	Short description	Description	Resolution	Priority	Agreed Resolution Time	Resolved	Closed	Agency	Ticket Type	Hours	Cost
Opened Tickets												
No Deal Management tickets were opened this reporting period												
Closed Tickets												
10/13/2022	INC32132741	BNY payment files related to Deal Management have Wells Fargo within files?	When we dispatch payments for Bank of New York Mellon (BNY) related to the purchases from Deal Management, I see that the payment file data within the Financial Gateway's Payment Dispatch page has Wells Fargo Bank listed for each Deal's purchase. I understand that no payment files are being sent from PALM to the banks in CMS Wave, but I also do not understand what is filling that payment file with anything Wells Fargo. This would be a low-to-medium priority item, impacting only Treasury right now. Original Email from Blake attached	Configuration	3 - Moderate	12/13/2022	12/6/2022	12/9/2022	DFS	Incident	NA	NA
Enhancements Implemented												
No Deal Management Enhancements were implemented during this period												