Broker of Burial Rights License Application

Under Section 497.281, Florida Statutes. Before the Board of Funeral, Cemetery and Consumer Services.

Required fees: \$155 Application nonrefundable fee

If you have any questions or need assistance in completing this application, please contact the Division of Funeral, Cemetery & Consumer Services at (850) 413-3039.

Section 1. APPLICANT INFORMATION Section 497.141(12), Florida Statutes, reads: (a) The following licenses may only be applied for and issued to a natural person: 1. embalmer apprentice; 2. embalmer intern; 3. funeral director intern; 4. funeral director; 5. funeral director and embalmer; 6. direct disposer; 7. monument establishment sales agent; and 8. preneed sales agent. (b) The following licenses may be applied for and issued to a natural person, a corporation, a limited liability company, or a partnership: 1. funeral establishment; 2. centralized embalming facility; 3. refrigeration facility; 4. direct disposal establishment; 5. monument establishment; 6. cinerator facility; 7. removal service; and 8. preneed sales business under s. 497.453. (c) A cemetery license may only be applied for and issued to a corporation, partnership, or limited liability company. Subsection 1A. Type of applicant (check one): ☐ Natural person (sole proprietorship, not incorporated) Corporation Limited liability company (LLC) Partnership Subsection 1B. Changes to Existing License (if applicable): Change in Ownership Current Name: ☐ Change in Location License Number: Subsection 1C. Name of applicant: (the license, if issued, will be issued in this name) Subsection 1D. (1) If applicant is an individual person, state applicant's date of birth: (2) If applicant is an entity, state the date applicant was organized (e.g., date articles of incorporation were filed): FOR OFFICE USE ONLY TYCL FT 3500 L \$150 3800 F \$155

Form DFS-C-BBRI; Broker of Burial Rights License Application (Rev. 01/14); 69K-1.001

Subsection 1E. If applicant is a corporation, LLC, or partnership, answer the questions in this Subsection:
(1) Under the laws of what state was the applicant organized?
(2) In what state is the applicant currently domiciled?
(3) Is the applicant currently an entity in good standing under the business organization laws of Florida?
YES NO NO
(4) Attach written documentary evidence that the applicant is an entity in good standing under the business organization laws of Florida. (e.g., a "Certificate of Status" issued by the Division of Corporations of the Florida Department of State, or equivalent certification)
(5) If applicant is a corporation, limited liability company, or partnership, complete and attach to this application, the Division form entitled "Business Entity – List of Principals." (see s. 497.141(12)(d), Florida Statutes). This form may be obtained from the website of the Division of Funeral, Cemetery & Consumer Services, or it may be requested by letter directed to the Division office at the address shown at the top of this form.
Subsection 1F. If the license applied for is issued, will applicant do business under a name other than applicant's name as shown in this application? YES \square NO \square
If YES, state all names applicant will do business under that are different from applicant's name as shown in this application:
Section 2. CONTACT INFORMATION CONCERNING THIS APPLICATION
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Enter the name and contact information of the person the Division should contact concerning this application. Name:
Enter the name and contact information of the person the Division should contact concerning this application. Name: Mailing address:
Enter the name and contact information of the person the Division should contact concerning this application. Name: Mailing address: Phone number with area code: () -
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C.	ection 3. APPLICANT'S PRI	EEEDDED 1	AAH ING AD	NDDECC
Enter applicant's preferred	d mailing address this Division or is issued (e.g., renewal notice	should use fo		
Street or PO Box:				
		l a	. Le	
City:		Sta	ite: Z	Zip Code:
	Section 4. ACTUAL BUSI	NESS LOCA	TION ADDR	RESS
	ress where operations under th ses or similar addresses allowed	e license app	lied for will be	
Street Address:	es or similar dadresses dilowed	u in inis seciic	<i>On.</i>	
City:	County:	State:		Zip Code:
	Section 5. OTHER LIC	ENSURE IN	FORMATIO	N
				egistration in Florida or any other
	neral director, embalmer, direc cility, removal service, centraliz			
monument establishment, o		zca ciiibaiiiiii	ig raciity, icii	igeration service, cemetery,
,		□ NO □		
You must disclose on that form Section of this application. The	m details of each current or prior l he " <u>Other Licenses Form</u> " may be	license that reque to obtained from	uired a "YES" of the website of t	dication an " <u>Other Licenses Form.</u> " answer to any of the questions in this the Division of Funeral, Commercery &
	be requested by letter directed to a Section 6. ADVERSE LICEN			
	ou" refers to applicant; "death			
	r, direct disposer, funeral estab			
	tor facility, removal service, ref	frigeration se	rvice, cemeter	y, monument establishment, or
preneed sales business.				
	deathcare industry license revo ory authority in Florida or any			rimanded, or otherwise YES \Boxedown NO \Boxedown
	d any application for a dea		•	
_ · · · · · · · · · · · · · · · · · · ·	ty in Florida or any other		•	YES NO
(c) Have you ever volunta	rily relinquished or surrendered	d a deathcare	industry licens	se while under investigation, or
	nary proceeding against you or			
	(d) Are you currently to your knowledge under investigation by any regulatory or law enforcement authority in Florida or any other state or jurisdiction in regard to alleged misconduct or incompetency in the performance of work			
under a deathcare industry license? YES \square NO \square				
If the answer to any of the au-	estions in this Section is YES, you r	must fill out and	d submit with th	nis application an "Adverse
Licensing Action History Form	m." You must disclose on that form	m details of eac	ch adverse licen	sing action and pending
				cation. This form may be obtained uested by letter directed to the
from the website of the Division of Funeral, Cemetery & Consumer Services, or it may be requested by letter directed to the Division office at the address shown at the top of this form.				

Section 7. CRIMINAL HISTORY QUESTIONS
For purposes of this section, the phrase "person subject to disclosure requirements" should be understood to refer to and include the following persons: 1. If the applicant is a natural person, only the natural person making application. 2. If the applicant is a corporation, all officers and directors of that corporation. 3. If the applicant is a limited liability company, all managers and members of the limited liability company. 4. If the applicant is a partnership, all partners. 5. The licensed direct disposer or funeral director in charge. (see s. 497.142(10)(e), Florida Statutes)
1. Has any person subject to disclosure requirements ever plead guilty, been convicted, or entered a plea in the nature of no contest, regardless of whether adjudication was entered or withheld by the court in which the case was prosecuted, in the courts of Florida or another state of the United States or a foreign country, regarding any crime indicated below:
a. Any felony or misdemeanor, no matter when committed, which was directly or indirectly related to or involving any aspect of the practice or business of embalming, funeral directing, direct disposition, cremation, funeral or cemetery preneed sales, funeral establishment operations, cemetery operations, or cemetery monument or marker sales or installation. YES NO
b. Any other felony not already disclosed under subparagraph 1. immediately above, which was committed within the 20 years immediately preceding the date this application is submitted.
YES NO
c. Any other misdemeanor not already disclosed under subparagraph 1. above, which was committed within the 5 years immediately preceding the date this application is submitted?
YES NO
If applicant circled YES to any of the above questions, there must be filed with this application a " <u>Criminal History Form</u> " by and regarding each person subject to disclosure requirements for whom the YES answer applies. There must be disclosed on that form details of every criminal action that required the "YES" answer to any of the above questions. That form may be obtained from the website of the Division of Funeral, Cemetery & Consumer Services, or it may be requested by letter directed to the Division office at the address shown at the top of this form.
2. If YES was answered to any question above, name here every person subject to disclosure requirements (<i>if none</i> , write "none").
Section 8. PRIOR NAME INFORMATION
Have you, the applicant, ever used, or been known by, any name other than the name under which you make this application?
YES NO
If you answered YES, enter in the space below every such prior name in full, and the period of time it was used (attach additional sheets if necessary):

SECTION 9. MISCELLANEOUS MATTERS
a. Do you understand that as a licensee offering to provide burial rights, merchandise or services to the public you should comply with disclosure of information to the public requirements as set forth in s. 497.282, Florida Statutes? YES NO
b. Do you understand that as part of this application, you must submit your fingerprints for a criminal background check?
YES NO
Instructions concerning how and where to submit fingerprints may be reviewed and printed from the website of the Division of Funeral, Cemetery & Consumer Services, as follows: go to the website of the Department of Financial Services (www.myfloridacfo.com), click on FLDFS Divisions and Offices , click on Funeral and Cemetery Services .
c. Do you understand that after licensure, you have a continuing duty under state law [s. 497.146, Florida Statutes], to notify this Division within 30 days of any change in your mailing address? YES \Boxedot NO \Boxedot
(A "Change of Address or Contact Data" form for individuals and entities may be found on the Division website)
d. Applicant may attach to this application one or more additional pages to explain any answer herein, or provide additional information the applicant desires the Division and Board to consider regarding this application.
Are you attaching any such additional pages? YES \(\subseteq \textbf{NO} \subseteq \textbf{If yes, how many pages:}
Section 10. APPLICANT'S CERTIFICATION & SIGNATURE
All applications shall be signed by the applicant. Signatures of the applicant shall be as follows:
 If the applicant is a natural person, the application shall be signed by the applicant. If the applicant is a corporation, the application shall be signed by the corporation's president. If the applicant is a partnership, the application shall be signed by a partner, who shall provide proof satisfactory to the licensing authority of that partner's authority to sign on behalf of the partnership. If the applicant is a limited liability company, the application shall be signed by a member of the company, who shall provide proof satisfactory to the licensing authority of that member's authority to sign on behalf of the company. (s. 497.141(12)(e), Florida Statutes)
 If the applicant is a corporation, the application shall be signed by the corporation's president. If the applicant is a partnership, the application shall be signed by a partner, who shall provide proof satisfactory to the licensing authority of that partner's authority to sign on behalf of the partnership. If the applicant is a limited liability company, the application shall be signed by a member of the company, who shall provide proof satisfactory to the licensing authority of that member's authority to sign on behalf of the company.
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 If the applicant is a corporation, the application shall be signed by the corporation's president. If the applicant is a partnership, the application shall be signed by a partner, who shall provide proof satisfactory to the licensing authority of that partner's authority to sign on behalf of the partnership. If the applicant is a limited liability company, the application shall be signed by a member of the company, who shall provide proof satisfactory to the licensing authority of that member's authority to sign on behalf of the company. (s. 497.141(12)(e), Florida Statutes) Under penalties of perjury, I, the applicant or applicant's authorized signatory, do hereby declare that I have read the foregoing application and all attachments, and the facts stated in it are true and correct. I declare that I have or will prior to commencing operations under this license comply with all requirements under Chapter 497, Florida Statutes, relating to the license for which I have applied. I hereby authorize any court, law enforcement agency, or licensing authority to release or make available to the Division of Funeral, Cemetery & Consumer Services in the Florida Department of Financial Services, and to the

Mail completed application with all attachments, and required fees to:

Division of Funeral, Cemetery & Consumer Services Revenue Processing P.O. Box 6100 Tallahassee, FL 32314-6100

Section 11. FEIN OR SOCIAL SECURITY NUMBER

Enter Applicant's FEIN or Social Security Number:

Purpose and Use:

The collection of social security numbers on applications for licensure under Chapter 497 is expressly authorized by s. 497.141(2), Florida Statutes. Social security numbers collected on applications will be used by the Department of Financial Services and the Board of Funeral, Cemetery and Consumer Services as follows: identification of applicants; obtaining background checks on applicants; obtaining information from authorities in other states; investigation of applicants and licensees concerning asserted violations of applicable law or rules; enforcement of child support obligations. The social security number may also be used for any other purpose required or authorized by federal or Florida Law.