

July 2007

Florida Division of Workers' Compensation

Questions/Answers from EDI Release 3 Training sessions

R3 Training in Orlando April 17-18, 2007

1. Will the IAIABC have any other R3 Training?  
*DWC: Yes, there will be Release 3 training prior to the IAIABC National Convention in Phoenix, AZ. Sept. 17-18, 2007. You do not have to attend the convention to attend the training. See <http://www.iaiaabc.org/edi/training.asp>*
2. Do you have to copy the SI Employer with DWC-4 or Letter when sending AQ because the Employer requested the change?  
*DWC: See #3 below.*
3. Do you have to copy the Employee with DWC-4 or Letter when sending AQ on closed claims?  
*DWC: Yes as follows: If the Claim Admin's file is still open, a DWC-4/Ltr must be sent to the EE and to the ER, with the exception of self insured employers, within 21 days after acquisition. If the Claim Admin's file is closed and the DOI is <= 2 years from Date of Acquisition, a DWC-4/Ltr must be sent to the EE and to the ER, with the exception of self insured employers, within 21 days after acquisition. If the Claim Admin's file is closed and the DOI is > 2 years from Date of Acquisition, a DWC-4/Ltr is not required to be sent. If the claim is reopened to pay benefits directly to the injured worker (indemnity or mileage, etc), a DWC-4/Ltr must be sent to the EE and to the ER, with the exception of self insured employers, explaining the acquisition and also explaining the reinstatement (if paying indemnity), within 21 days after additional payment is made.*
4. How can you cancel a claim on the Rejected But Not Resubmitted Report?  
*DWC: If canceling because it is a duplicate that was resubmitted under another SSN or DOI, you can send an MTC 01 Cancel. If you are not going to resubmit the record, contact your EDI Analyst and advise you are not going to resubmit and why. The analyst will document it in the warehouse and it will be removed from the list.*
5. Will an MTC AQ reject if we don't have the JCN from the prior TPA?  
*DWC: No, the AQ does not require the JCN. It will be returned to the new TPA on the Acknowledgement transaction.*
6. Is an AQ due with a change in Administration office for the same TPA?  
*DWC: No, this would be an MTC 02, provided the new Claim Admin address had been added to the Trading Partner forms.*
7. Will the AU/AP be rejected if the prior TPA did not send a FROI 00?  
*DWC: No, but an AQ would. The AU/AP will be accepted as the initial First Report.*
8. Is there a way to check the EDI Online Data Warehouse to see if the prior TPA has filed a claim to avoid a rejected AQ?  
*DWC: No, because one company can not access another company's records, but you can check the online Claims Database on the Division's website with the Employee's First and Last Name and SSN. <https://apps.fldfs.com/claimswweb/logon.aspx>*
9. Does the Sender's (Claim Administrator's) Postal Code on the Header (part of the Sender ID: FEIN + Zip) have to be the Physical Postal Code for the Sender?  
*DWC: It does not matter; as long as it matches the Sender ID information filed on the DWC-EDI-1, EDI Trading Partner Profile document, and is a set up in DWC's system.*
10. Will the warning error sent on a "TA" Acknowledgement in FL Release 1 EDI filings be located in the 50 byte error text message on the R3 Acknowledgement?  
*DWC: No, a TA does not have error segments on it. The EDI Analyst will receive an email advising if there were transactions in the previous day's submission that received non-fatal error messages that require a follow up. In the data warehouse you will be able to sort on Received*

*Date and a “non-fatal” flag that will list all cases that have non-fatal errors to be addressed. We are also exploring the ability to send a notification in the 60 byte error text (DN113) in the AKC Fixed Length segment.*

11. If an EE is released to RTW with restrictions but is back to work at full duty, does an S1 have to be sent? Rule indicates an S1 means that the claim admin does not anticipate paying any further benefits, and at the time the EE returns to work, further benefits “may” be paid if EE can not work full duty.

*DWC: An MTC 02 can be filed to report the required RTW fields, instead of an S1, if the EE is still possibly eligible for indemnity (TP or IB).*

12. Do all settlements go before a JCC? If no, then what is the Award/Order Date?

*DWC: Yes, all settlements must be approved by a JCC (either unrepresented approval, or approval of attorney fees if represented). Award/Order date is the date the JCC signs the order.*

13. If a settlement was for \$10,000 with \$5,000 being ordered to be sent for child support, how is that reflected via EDI because the Benefit Redistribution segment is for a weekly amount only and you can not send the same Payment Reason Code for multiple Payment segments? How would you show that 2 different Payees received \$5,000 when they are both coded to the same settlement indemnity type? Or would the Payment segment reflect just the \$5,000 payment to the Employee and DWC would not know that \$5,000 was sent for child support?

*DWC: This issue has been sent to the IAIABC R3 Issues Committee for resolution. It is IRR: CLM566R3 (Issue 314). Here is an excerpt from the group’s proposed solution that will be voted on soon:*

**IAIABC WORKGROUP COMMITTEE FINAL PROPOSED RESOLUTION:** Revise the Variable Segment Rules and Systems Rules to permit multiple Payment Segments with the same Payment Reason Code. In this situation above, the Payment segment would contain 2 Payment segments with Payment Reason Code 500. One Payment Segment with PRC 500 reflecting the Payee as the EE for \$5,000 and a second Payment segment with PRC 500 reflecting the payee as the “friend of the court” or whomever the child support check is issued to for \$5,000. The Ben Segment would have one segment (BTC 500) with a BT Amt Paid of \$10,000.

14. If there were 2 checks sent on a settlement (\$8,000 to EE and \$2,000 to Claimant’s Atty – Total \$10,000 settlement, all coded to same settlement indemnity type), what would be sent on the PY?

*DWC: This issue has been sent to the IAIABC R3 Issues Committee for resolution. It is IRR: CLM567R3 (Issue 315). Here is an excerpt from the group’s proposed solution that will be voted on soon:*

**IAIABC WORKGROUP COMMITTEE FINAL PROPOSED RESOLUTION:** The Ben Segment would have one segment (BTC 500) with a BT Amt Paid of \$10,000.

The Payments segment would depend on how the claim admin’s system issues checks. If 2 checks are cut (\$8,000 to EE and \$2,000 to EE’s Atty) reflect both as 2 separate Payments segments with Payment Reason Code 500 – see IRR CLM566R3 (issue 314). It would not be OBT 340 because that excludes attorney fees that are determined to be the responsibility of the employee.

If only one check is cut for \$10,000 in the name of both the EE and EE’s Atty, then the Payments segment would reflect 1 segment for the full \$10,000.

15. If the claim admin is ordered to pay an award (BTC 050 for 10 weeks at \$400 per week - \$4,000) and continue with ongoing benefits, and the Award check was also inclusive of the first 2 weeks of ongoing (050 - \$800.) benefits, how should it be sent?

*DWC: This issue has been sent to the IAIABC R3 Issues Committee for resolution. It is IRR: CLM569R3 (Issue 317). Here is an excerpt from the group’s proposed solution that will be voted on soon:*

**IAIABC WORKGROUP COMMITTEE FINAL PROPOSED RESOLUTION:** MTC PY is sent with Lump Sum Payment/Settlement Code AW, with Benefits Segment 050 and Benefit Type

Amt Paid of \$4,800, and Benefit Period Through Date as end of the 2nd week of ongoing benefits...also Payments Segment that reflected the same 050 Payment Reason Code, Payment Amount (\$4,800), and Payment Covers Period Through Date. Exact amount of Award (\$4,000) cannot be determined via this EDI transaction.

16. If the EE is currently being paid TTD (BTC 050) but has agreed to a settlement of \$10,000 (MTC PY with BTC 500), and claim admin agrees to send the EE an advance for \$1,000 until parties agree and sign, or judge approves...how is this reported? Would the advanced amount be reported under BTC 050 because he is currently receiving TTD, or will it be sent with BTC 500 and \$1,000 BT Amt Paid because it is an advance on the settlement monies (BTC 500)? Do you report a Benefit Credit Code for an Advance when it is not a weekly amount?

*DWC: A Benefit Credit Code for an Advance can not be used because the Advanced amount is not going to be recouped from weekly benefits. This issue has been sent to the IAIABC R3 Issues Committee for resolution. It is IRR: CLM568R3 (Issue 316). Here is an excerpt from the group's proposed solution that will be voted on soon:*

**IAIABC WORKGROUP COMMITTEE FINAL PROPOSED RESOLUTION: Proposed Solution:** Advance on a settlement must be coded to the same Payment Reason Code and Benefit Type Code as the Settlement.

Amend DP Rule for Lump Sum Payment/Settlement Code AD as follows:

DP Rule: The Benefit Type Code 0XX series would be used to report an advance that specified a rate and time period. The Benefit Type Code 5XX series would be used to report an advance that did not specify a rate and time period. An Advance on a pending settlement must be coded to the same Payment Reason Code and Benefit Type Code as the settlement.

17. If an initial payment is being made for a lump sum for a past period of IB's due, is this an Advance with a PY?

*DWC: Correction to what was stated at the 1<sup>st</sup> training in Orlando: The "lump sum" payment of IB (030) benefits for a past or future period would be reported using MTC PY, and **no** Lump Sum Payment Settlement Code is required. The Division does not consider this an Advance.*

18. Are Award/Order Dates required for LS Code-AS?

*DWC: Yes, however, an Award/Order Date is not required for lump sum payment of BTC 030 (IB), or Lump Sum Payment/Settlement Code AD if amount is < \$2,000.*

#### EDI Questions/Answers from R3 Training in Tallahassee May 16-17 and May 21-22, 2007

1. Will a JCN be returned on a TR'd AKC?

*DWC: It depends, if a valid JCN is sent on a transaction that TR's, we will return that JCN on the AKC.*

2. Will CPS use the W-Non Consecutive Period Code to excuse/waive penalties?

*DWC: No, payment timeframes are statutory. Filing timeframes are based on the Date Claim Administrator Had Knowledge of Lost Time (Knowledge of 8<sup>th</sup> Day). Non Consecutive Period Code is not used in determining the timeliness of filing. It is used to explain why the Start and Through Dates in the Benefits Segment may represent a greater period of time than the Weeks, and Days actually reflect.*

3. Can CPS recognize and not issue fines during the 2 week migration period?

*DWC: At the present time this is not possible; however, DWC is still evaluating the possibility.*

4. When the injured worker says they did not receive the initial payment, so it is declared "lost" and it is "reissued", is the reissued "date payment mailed" (Benefit Payment Issue Date) reported to DWC?

*DWC: No*

Will this situation cause an issue with M&A on Audit since the original payment date reported to DWC was not the date of the reissued initial payment?

*DWC: No*

5. What Benefit Adjustment Code should be used when applying a State of FL “in line of duty” disability offset? What Adjustment Code should be used for the “Grice” case offsets?

*DWC: We will add Benefit Adjustment Code E: Employer Provided Pension as a valid value.*

6. Can the Award/Order Date be the date the settlement agreement (SAR) was signed by all parties (release date) rather than the date the judge signs the atty fee order?

*DWC: Yes*

7. Can vendors/TPA’s have reduced testing requirements after the first of multiple clients have tested successfully?

*DWC: No, however, if vendor proves to be consistently accurate from one client to another, we may relax test requirements on future clients. This is not a guarantee.*

8. What is FL’s “120” day rule?

*DWC: In accordance with FL statute 440.20(4)F.S., “If the carrier is uncertain of its obligation to provide benefits...the carrier shall commence investigation of the employee’s entitlement to benefits and admit or deny compensability within 120 days after the initial provision of compensation or benefits...”*

9. How far in the future can benefits be reported before it is considered an Advance?

*DWC: If the Event is not an Advance (Lump Sum Payment/Settlement Code-AD), the Benefit Period Through Date can be up to two weeks in to the future without rejecting. If the Benefit Type Code is 030 or 5xx, this edit will not be applied.*

10. When and where is the next IAIABC Conference scheduled?

*DWC: Sept 18-22, 2007 in Phoenix, AZ. National EDI R3 Training may be provided on Sept 17-18 in Phoenix. This is still being discussed.*

11. If IB benefits are paid in a lump sum via MTC PY, what would the Suspension Effective Date be for the subsequent S7?

*DWC: Suspension Effective Date is the defined as the last date through which all indemnity benefits are due. For IB’s, this would be the last date through which the Employee was due IB’s based on the PI rating. If IB’s are paid in a lump sum immediately followed by an S7, this date would be the projected future date.*

12. Would a fractional percentage of PI rating be permitted if the case has been apportioned between 2 claim administrators? (i.e.: 7% rating apportioned to 3.5% for each claim administrator).

*DWC: We will change our fatal edit (0084-111) to an EDI Reconciliation edit to permit fractional percentages upon explanation of Apportionment/Contribution.*

13. Should Benefits Segments reflect payment of a settlement on an SA, if a Settlement Check has been sent to the EE; however, a final atty fee order has not been signed?

*DWC: See answer to #8 – Settlement and Release date can be used as Award/Order date, so there should be no delay in filing the settlement information.*